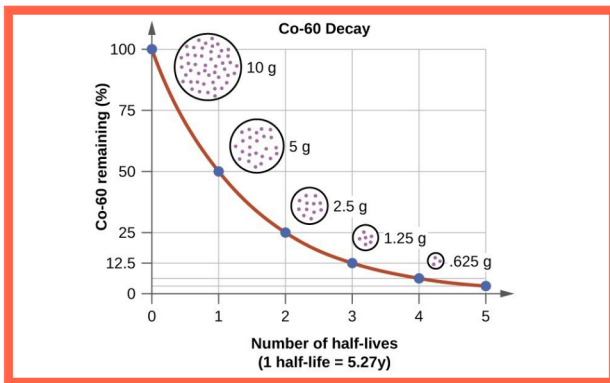


# How good are quantum knot invariants?

Or: All knots are equal!?

Accept ~~Change~~ what you cannot ~~change~~ accept



I report on work of Dłotko–Gurnari–Sazdanovic + Zhang

# CINQUIÈME COMPLÉMENT À L'ANALYSIS SITUS.

Par M. H. Poincaré, à Paris.

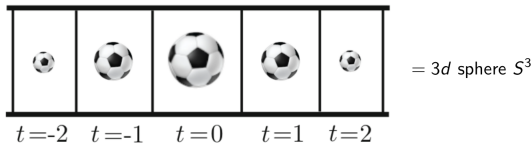
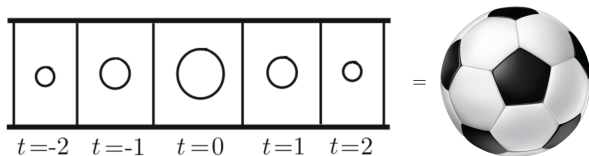
Adunanza del 22 novembre 1903.

Il resterait une question à traiter :

Est-il possible que le groupe fondamental de  $V$  se réduise à la substitution identique, et que pourtant  $V$  ne soit pas simplement connexe?

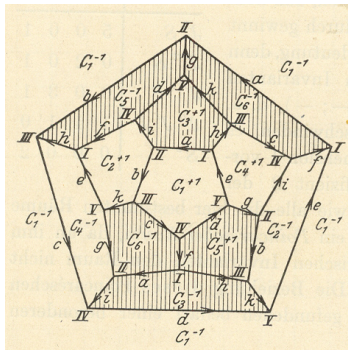
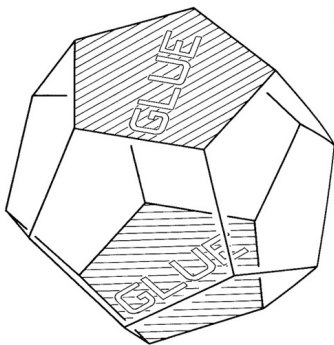
- ▶ Closed 3d manifolds need four-space to be realized, so are hard to imagine
- ▶ Poincaré ~1904 : classification in 3d is difficult, but maybe:
- ▶ Question The only closed simply connected 3d manifold is a sphere?

# Quantum invariants



- ▶ The answer to Poincaré's question is **Yes!** (Due to **many people**, finalized by Perelman  $\sim 2002$ )
- ▶ The  $> 3$  dim analog was known for some time due to **many people**, e.g. Smale  $\sim 1961$  for  $> 4$  and Freedman  $\sim 1982$  for  $= 4$
- ▶ The smooth 4d version is **"the last person standing in geometric topology"**

# Quantum invariants

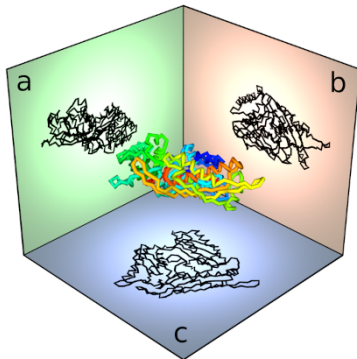
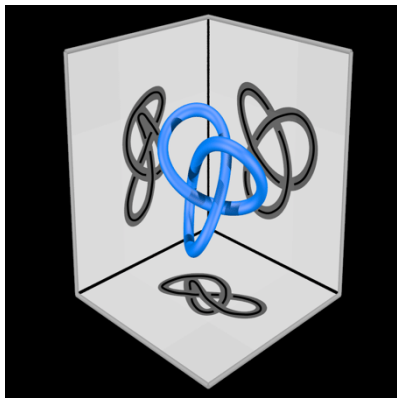


- ▶ The original “Poincaré conjecture” was homology detects the 3-sphere
- ▶ Poincaré found a counterexample  $\sim 1904$  (later reformulated as “gluing opposite sides of a dodecahedron”) and then changed the “conjecture”
- ▶ Maybe this is why it was carefully called a question and not a conjecture



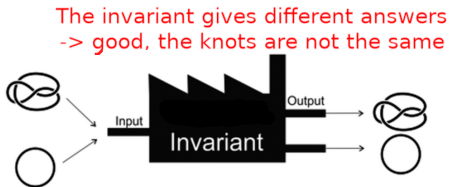
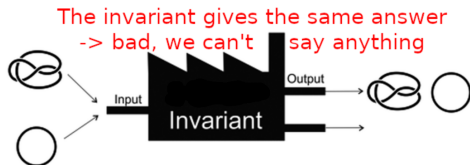


# Quantum invariants



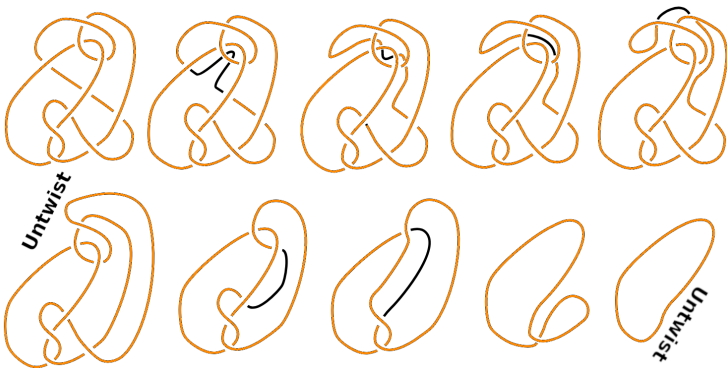
- ▶ **Knot** = closed string (a circle  $S^1$ ) in three spaces; link = multiple components
- ▶ Knots are studied by projections to the plane **Shadows**
- ▶ Knots/links are the **basic building blocks** of low dimensional manifolds

# Quantum invariants



- ▶ In math knot theory started in the early 20th century
- ▶ Topologists from ~1900-1980 studied knots from the point of view of invariants from homology theory
- ▶ Problem The invariants obtained are not particularly strong

Even the unknotting problem is tricky

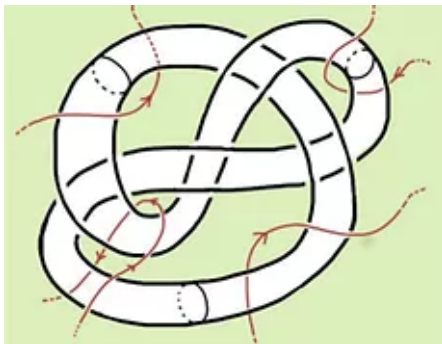


In general, knot theory was in need of new invariants  
since the “standard invariants from algebraic topology”  
(homology and friends)  
are really not good for knots

- In
- To
- in
- Problem

The invariants obtained are not particularly strong

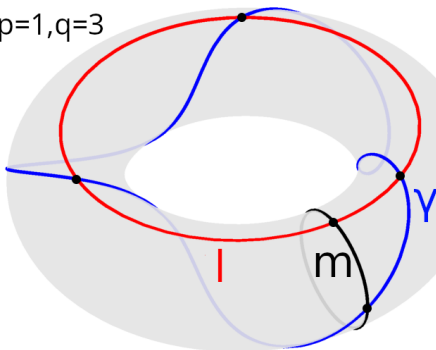
# Quantum invariants



- ▶ A knot complement  $S^3 \setminus \text{int}(K)$  is a 3mfd bounding a torus
- ▶ Idea Glue back in a solid torus  $ST$ , but “twisted”
- ▶ Any such gluing is determined by the image of the meridian  $m$ , and  $m$  goes to some simple closed curve  $\gamma$  in  $T = \partial ST$ , and it hence suffices to describe  $\gamma$

Write  $[\gamma] = p \cdot [l] + q \cdot [m] \in H_1(\partial T)$

$p=1, q=3$



► A knot co

► **Idea** Gluing Surgery: We take out a torus  $T$ , fix  $\gamma$  determined by  $p, q$  and glue the meridian  $m$  of  $T$  back in on  $\gamma$

► **Any** such gluing is determined by the image of the meridian  $m$ , and  $m$  goes to some simple closed curve  $\gamma$  in  $T = \partial ST$ , and it hence suffices to describe  $\gamma$

# Quantum invariants

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Every closed, orientable, connected 3mfd can be obtained by Dehn surgery, that is:

- (i) Pick a finite collection of knots in  $S^3$
- (ii) Pick a surgery coefficient  $(p, q)$  for each knot
- (iii) Perform the “remove-insert” surgery

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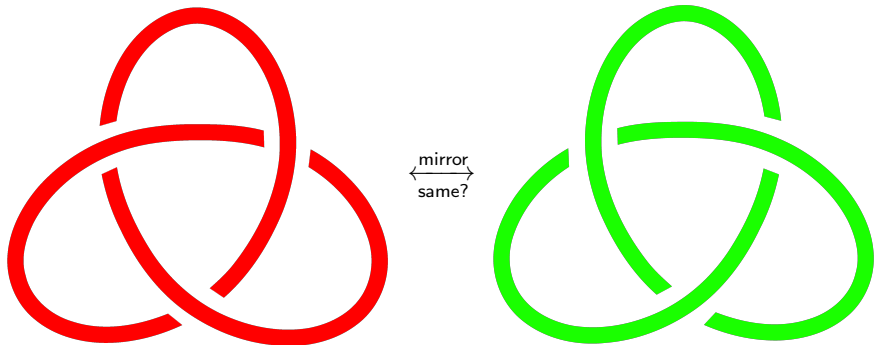
► Every surgery on a knot gluing meridian to longitude gives a homology sphere



► Homology is “really bad”!

# Quantum invariants

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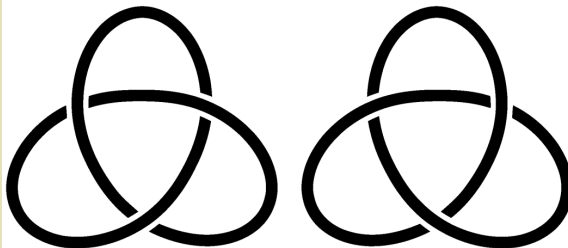


- ▶ **Problem** Deciding whether two knot projections are the same knot is difficult
- ▶ **Task** Find an invariant. Sounds easy? Well, most knot invariants are pretty bad...so: find a 'good' knot invariant
- ▶ **Example** There was no knot invariant that can distinguish the above knots



## Jones' revolution (quantum invariants)

Left = right-handed trefoil? No!

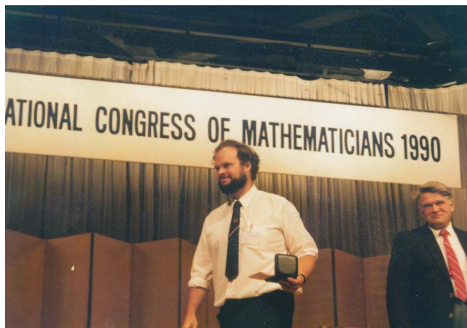


- ▶ The left-handed trefoil has Jones polynomial  $-q^4 + q^3 + q$
- ▶ The right-handed trefoil has Jones polynomial  $-q^{-4} + q^{-3} + q^{-1}$
- ▶ Thus, they are different

A zoo of quantum invariants For any semisimple Lie algebra and any representation:

**Jones ~1985 + friends** There are polynomial knot/3mfd invariants

**Khovanov ~1999 + friends** There are homological knot/3mfd/4mfd invariants



- ▶ **Kyoto 1990** Jones receives the fields medal (with Faddeev in the background)
- ▶ **Quote** “Jones discovered an astonishing relationship between von Neumann algebras and geometric topology. As a result, they found a new polynomial invariant for knots and links in 3-space.”
- ▶ **Today** The focus is on the quantum knot invariants à la Jones

## Example (of quantum invariants)



- Alexander  $(q^{1/2} - q^{-1/2}) \cdot \Delta_{L_0}(q) = \Delta_{L_+}(q) - \Delta_{L_-}(q)$
- Jones polynomial:
  - Skein relation  $(q^{1/2} - q^{-1/2}) \cdot J_{L_0}(q) = q^{-1} \cdot J_{L_+}(q) - q \cdot J_{L_-}(q)$
  - Hecke algebra of the braid group
  - Quantum field theory as the unknot normalized vacuum expectation value of the Wilson loop operator in  $SU(2)$  Chern-Simons gauge theory
- HOMFLY-PT:  $z \cdot H_{L_0}(q) = a \cdot H_{L_+}(q) - a^{-1} \cdot H_{L_-}(q)$
- Khovanov homology – categorification of the Jones polynomial

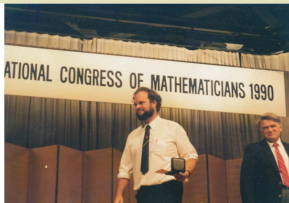
Everyone loves them (I have spend **1/4 of a century** studying them) and they triggered a lot of research in

low dim topology, mathematical physics, modular Lie theory, ...

**Question** How good are these invariants (say, on prime knots)?

**Today** The focus is on the quantum knot invariants à la Jones

They are loved because they relate many fields



- ▶ **Kyoto 1990** Jones receives the fields medal (with Faddeev in the background)
- ▶ **Quote** "Jones discovered an astonishing relationship between von Neumann algebras and geometric topology. As a result, they found a new polynomial invariant for knots and links in 3-space."

But somehow, nobody (at least not me) ever checked how they actually perform!  
invariant for knots and links in 3-space."

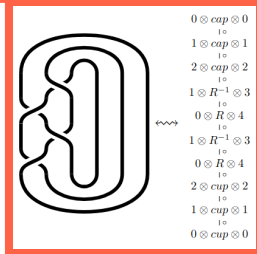
- ▶ **Today** The focus is on the quantum knot invariants à la Jones

# Big data and knots

$$R = \begin{array}{c} \diagup \diagdown \\ \diagdown \diagup \end{array}, \quad R^{-1} = \begin{array}{c} \diagdown \diagup \\ \diagup \diagdown \end{array}, \quad \text{cap} = \cap, \quad \text{cup} = \cup, \quad \text{id} = \mid.$$

We associate these to linear maps (matrices upon choice of basis) denoted with the same symbols

$$(2D.1) \quad R, R^{-1}: V_q \otimes V_q \rightarrow V_q \otimes V_q, \quad \text{cap}: V_q \otimes V_q \rightarrow \mathbb{C}(q), \quad \text{cup}: \mathbb{C}(q) \rightarrow V_q \otimes V_q, \quad \text{id}: V_q \rightarrow V_q, v \mapsto v.$$



- Construction of quantum invariants ( $\mathfrak{g}, V_q$ ) See above; here  $V_q$  is a representation of some semisimple Lie algebra  $\mathfrak{g}$
- Black box Quantum groups give us the matrices
- Categorification There are also homology versions (defined similarly)

## Example

For the Jones polynomial  $J$  take  $\mathfrak{g} = \mathfrak{sl}_2$ , and  $V_q = \mathbb{C}^2$

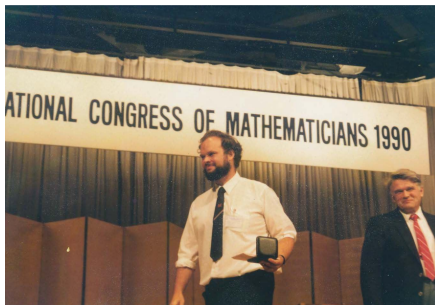
The  $R$  matrix is

$$R = \begin{pmatrix} q^{1/2} & 0 & 0 & 0 \\ 0 & 0 & q & 0 \\ 0 & q & q^{1/2} - q^{3/2} & 0 \\ 0 & 0 & 0 & q^{1/2} \end{pmatrix}$$

$q = 1$  gives the swap map

► **Categorification** There are also homology versions (defined similarly)

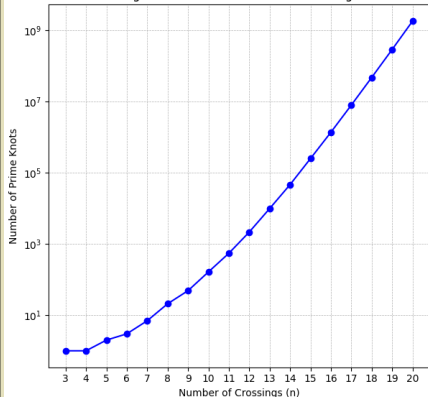
# Big data and knots



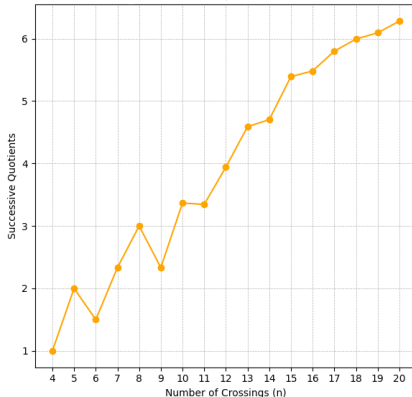
- (a) We start with the *Jones polynomial* or *A1 invariant*  $(\mathfrak{sl}_2, \mathbb{C}^2, 0)$  (for the vector representation). This is our reference invariant.
- (b) We investigate the *2-colored Jones polynomial* or *B1 invariant*  $(\mathfrak{sl}_2, \text{Sym}^2 \mathbb{C}^2, 0)$  (for the simple three-dimensional representation). This is coloring.
- (c) We look at the *A2 invariant*  $(\mathfrak{sl}_3, \mathbb{C}^3, 0)$  (for the vector representation). This is a rank increase.
- (d) We then look at *Khovanov homology* or *A1<sup>c</sup> invariant*  $(\mathfrak{sl}_2, \mathbb{C}^2, 1)$  (for the vector representation). This is categorification.
- (e) Finally, we have the most classical knot polynomial, the *Alexander polynomial* or *isotropic A1 invariant*  $(\mathfrak{gl}_{1|1}, \mathbb{C}^{1|1}, 0)$  (for the vector representation). Here we leave the realm of Lie algebras.

## Crucial

Log Plot of Prime Knots with n Crossings



Successive Quotients of Prime Knots



For this to work we need a lot of data; and we are lucky:

**Ernst–Summers ~1987** The number of knots grows exponential

Finally, we have the most classical knot polynomial, the *Alexander polynomial* or *isotopic A1 invariant*  $(g_{1|1}, \mathbb{C}^{1|1}, 0)$  (for the vector representation). Here we leave the realm of Lie algebras.



# Big data and knots

Kronheimer–Mrowka gave a beautiful ICM talk about this (and related) breakthrough(s) Google 'Kronheimer Mrowka ICM 2018'

Detecting knottedness with  $Kh(K)$



**Corollary:** If  $K$  is non-trivial then (with  $\mathbb{Z}/2$  coefficients),

$$\dim Kh(K) > 2$$



*"Khovanov homology is an unknot-detector"*

- ▶ First measure Put all (prime) knots in a bag, grab one randomly, how likely distinguishes, say,  $J$  the knot (from all others)?
- ▶ More formally What is

$$\lim_{n \rightarrow \infty} \#(\text{different } J \text{ with } \leq n \text{ crossings}) / \#(\text{knots with } \leq n \text{ crossings})?$$

## Small number coincidences?

### KHOVANOV HOMOLOGY DETECTS:

- The unknot: Kronheimer–Mrowka (2010)
- The unlink Hedden–Ni (2013), Batson–Seed (2015)
- The trefoils Baldwin–Sivek (2018)
- The Hopf link Baldwin–Sivek–Xie (2018)
- $2_1 \# 2_1$ , the torus link  $T(2, 4)$  Xie–Zhang (2019)
- Split links Lipshitz–Sarkar (2019)
- The torus link  $T(2, 6)$  Martin (2020)
- $L6n1$  Xie–Zhang (2020)
- $L7n1$ ,  $2_1 \# 3_1$  Li–Xie–Zhang (2020)
- Cinquefoil  $T(5, 2)$ , non-fibered Baldwin, Siwek (2022)

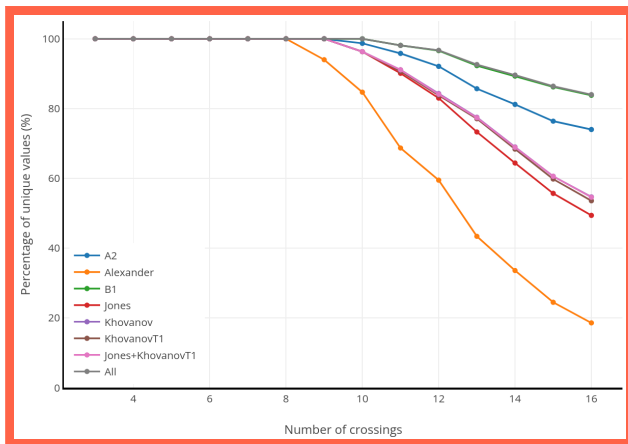
► First measure  
distinguish

► More formally What is

omly, how likely

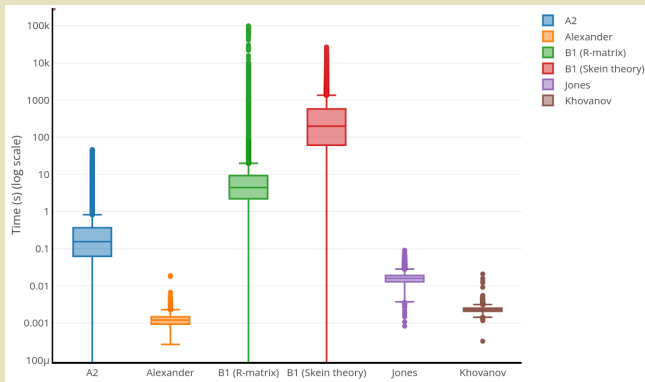
$$\lim_{n \rightarrow \infty} \#(\text{different } J \text{ with } \leq n \text{ crossings}) / \#(\text{knots with } \leq n \text{ crossings})?$$

# Big data and knots



- ▶ 1/4 century wasted!? They all distinguish knots with probability zero
- ▶ Data visualization gives us this conjecture and we can prove it for some of them

Even worse They all drop exponentially fast (proven in some cases)



If that is true, then the additional measure we would use is the computational complexity (in the number of crossings)

Invariant knot	A	A1	B1	J	K
Capital O	polynomial	$\approx 3^{\sqrt{n}}$	$\approx 3^{\sqrt{n}}$	$\approx 2^{\sqrt{n}}$	$\approx 2^n$ (maybe better)

Alexander is then by far the best

## One can even prove that!

Let  $\mathcal{AL}_n$  be the set of alternating links of  $\leq n$  crossings. Similarly to  $Q(n)^\%$  define

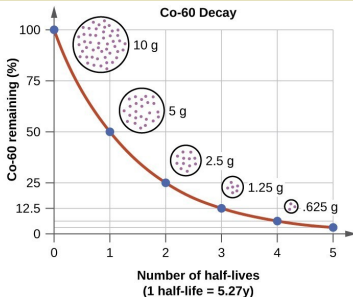
$$Q(n)_{AL}^\% = \#\{Q(L) \mid L \in \mathcal{AL}_n\} / \#\mathcal{AL}_n.$$

These are the *distinct values*  $Q$  takes on *alternating links*. For the next statement, the reader may want to recall *Conway mutation* as, for example, in [Ada94, Section 2.3]. Let  $K_2$  be Khovanov homology in characteristic 2.

**Theorem 3.5** (Exponential decay theorem). *For any quantum invariant  $Q$  that satisfies a skein relation, is multiplicity free or does not detect Conway mutation we have*

$$Q(n)_{AL}^\% \in O(\delta^n) \text{ for some } \delta = \delta(Q) \in (0, 0.996).$$

This applies to  $Q \in \{A_2, A, B_1, J, K_2\}$ .

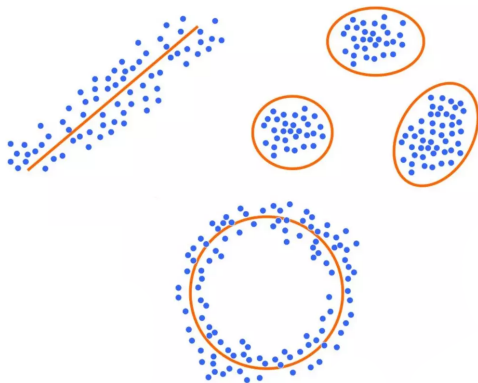


The current proof is not perfect, but covers many quantum invariants and their categorifications

Not covered but in progress Integral HOMFLYPT homology

# Big data and knots - TDA

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- 
- ▶ TDA (topological data analysis) is the art of finding the shape of data
  - ▶ Question What shape are quantum knot invariants?
  - ▶ Question Can the shape measure how good they are?

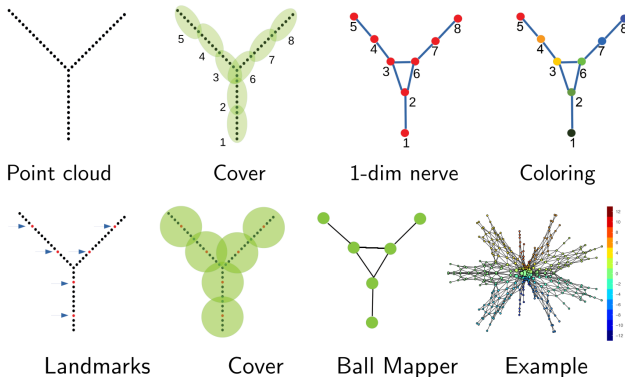
Knots form point clouds!

	$q^{-3}$	$q^{-2}$	$q^{-1}$	$q^0$	$q^1$	$q^2$	$q^3$	$q^4$	$q^5$	$q^6$	$q^7$
$J(0_1)$	0	0	0	1	0	0	0	0	0	0	0
$J(\text{mir}(3_1))$	0	0	0	0	1	0	1	-1	0	0	0
$J(4_1)$	0	1	-1	1	-1	1	0	0	0	0	0
$J(\text{mir}(5_1))$	0	0	0	0	0	1	0	1	-1	1	-1
$J(\text{mir}(5_2))$	0	0	0	0	1	-1	2	-1	1	-1	0
$J(\text{mir}(6_1))$	0	1	-1	2	-2	1	-1	1	0	0	0
$J(\text{mir}(6_2))$	0	0	1	-1	2	-2	2	-2	1	0	0
$J(6_3)$	-1	2	-2	3	-2	2	-1	0	0	0	0

These are vectors in a 11d space

► **Question** Can the shape measure how good they are?

# Big data and knots - TDA

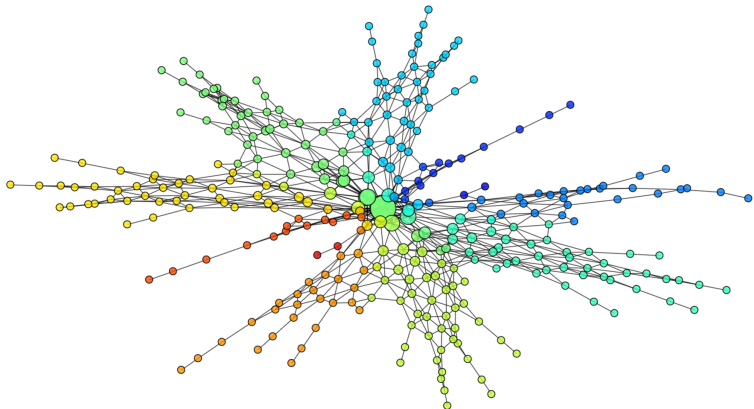


- ▶ (Ball) Mapper = a way to turn point clouds into a graph
- ▶ Coloring gives additional information
- ▶ We see this in examples momentarily



# Big data and knots - TDA

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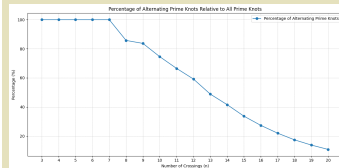
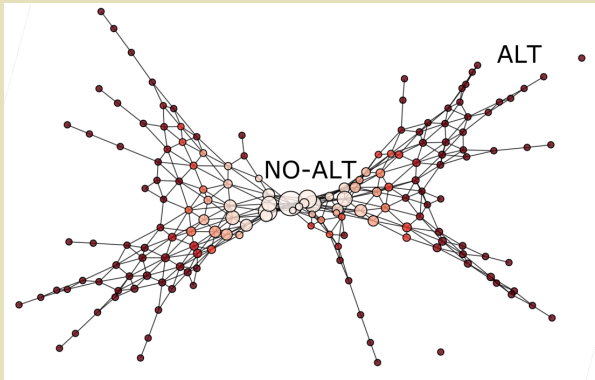


- ▶ Now live Ball mapper on knot data
- ▶ Play here <https://dioscuri-tda.org/BallMapperKnots.html>  
<https://dustbringer.github.io/web-knot-invariant-comparison/>

## Data visualization

gives again many possible conjectures and comparisons

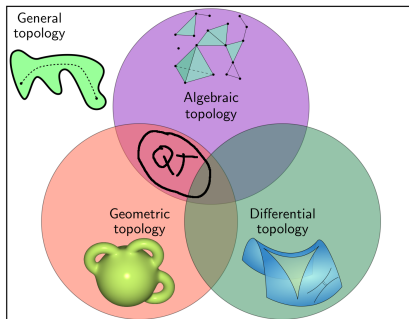
Alternating knots are actually **easier** than the general case  
(recalling the exponential decay theorem):



Most patterns that exists are probably to difficult to prove

<https://dustbringer.github.io/web-knot-invariant-comparison/>

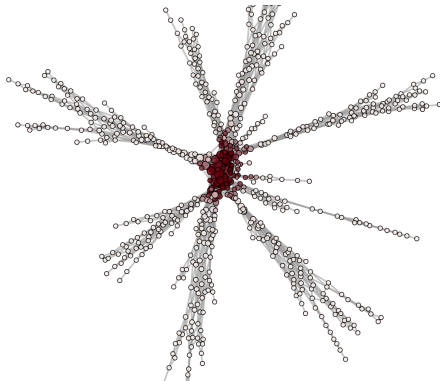
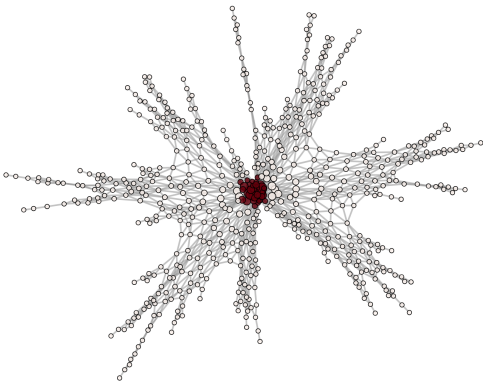
# Big data and knots - compare



- **Summary** There is an infinite family of quantum invariants, “all” fail to detect knots fast and have superpolynomial runtime
- **Essentially** Before Jones we were missing knot invariants, after Jones we have too many and they are somewhat all the same
- Maybe what one should do instead is to **compare** them

# Big data and knots - compare

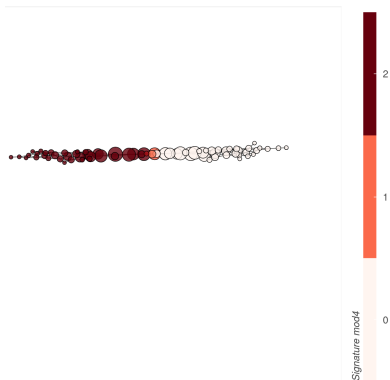
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- 
- ▶ Above Jones and its categorification (homology version)
  - ▶ Categorification “=” pushing things further apart
  - ▶ Comparing the invariants shows that they are related

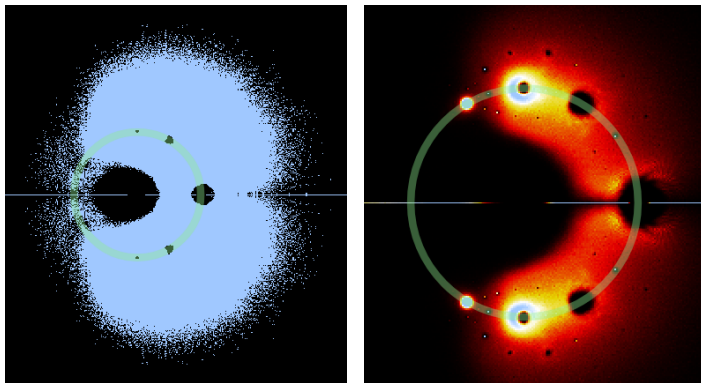
# Big data and knots - compare

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- ▶ Above Coloring of the Alexander invariant with the signature mode 4
- ▶ Signature = a traditional knot invariant (from homology)
- ▶ The eye catching conjecture is then easy to prove

# Big data and knots - compare



- ▶ Above The roots of the Jones polynomials
- ▶ This is a very specific distribution
- ▶ Another task Compare the distribution of the polynomials

- ▶ Closed 3d manifolds need four-space to be realized, so are hard to imagine
- ▶ Poincaré ~1904: classification in 3d is difficult, but maybe:
- ▶ Question The only closed simply connected 3d manifold is a sphere?


- A zoo of quantum invariants** For any semisimple Lie algebra and any representation:  
**Jones – 1985 + friends** There are polynomial knot/link invariants  
**Khovanov – 1998 + friends** There are homological knot/link invariants

- are quantum knot inv

- ▶ The original "Poincaré conjecture" was **homology detects the 3-sphere**
- ▶ Poincaré found a counterexample ~1904 (later reformulated as "gluing opposite sides of a dodecahedron") and then **changed** the "conjecture"
- ▶ **Maybe** this is why it was carefully called a *question* and not a *conjecture*

- **Kyoto 1990** Jones receives the fields medal (with Faddeev in the background)
- **Quote** "Jones discovered an astonishing relationship between von Neumann algebras and geometric topology. As a result, they found a new polynomial invariant for knots and links in 3-space."
- **Today** The focus is on the quantum knot invariants à la Jones

- ▶ **Now live** Ball mapper on knot data
- ▶ **Play here** <https://dicecup-tda.org/BallMapperKnots.html>  
<https://dustbringer.github.io/web-knot-invariant-comparison/>

- Even the unknotting problem is tricky
- 
- General, knot theory was in need of new invariants  
the "standard invariants from algebraic topology"  
(homology and friends)  
are *gauge* not *topological*

- ▶ **1/4 century wasted!** They all distinguish knots with probability zero
- ▶ **Data visualization** gives us this conjecture and we can prove it for some of them

- Above The roots of the Jones polynomials
- This is a very specific distribution
- Another task Compare the distribution of the polynomials

There is still much to do...

## Quantum invariants

### CINQUIÈME COMPLÉMENT À L'ANALYSE SITU.

Par M. H. Poincaré, à Paris.

Attested 60 to November 1900.

Il restait une question à trancher :  
Est-il possible que le groupe fondamental de  $V$  se réduise à la substitution identité, et que pourtant  $V$  ne soit pas simplement connexe?

- Closed 3d manifolds need **four-space** to be realized, so are hard to imagine
- **Poincaré ~1904**: classification in 3d is difficult, but maybe:
- **Question**: The only closed simply connected 3d manifold is a sphere?

How good are quantum knot invariants? ... 1/4

**Jones' revolution (quantum invariants)**

Left vs right handed knot? That

- The left-handed trefoil has Jones polynomial  $-x^2 + x^{-1} + 1$
- The right-handed trefoil has Jones polynomial  $-x^{-2} + x + 1$
- **Very successful**

**A zoo of quantum invariants** (For any semisimple Lie algebra and any representation)

**Jones ~1985 + friends** There are polynomial knot (2nd) invariants

**Khovanov ~1999 + friends** There are homological knot (2nd) invariants

How good are quantum knot invariants? ... 1/4

**Big data**

One can even prove that!

For  $AC_n$  in the set of all directed graphs of a complete graph  $K_n$  with  $n$  nodes

$$|AC_n| = \frac{1}{2} (2^n - 1) \cdot (2^n - 2) \cdot \dots \cdot (2^n - n + 1)$$

There are the directed subgraphs (also in directed graphs). For each such subgraph, one can ask to count (using induction) the number of directed subgraphs (also in directed graphs) that contain it.

**Theorem 13** (Exponential time theorem). For any graph invariant  $f$ , the number of such subgraphs is bounded by  $2^{O(n)}$  (for some  $O$ ).

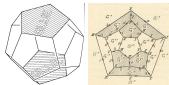
One can even prove that!

The current proof is not perfect, but counts many quantum invariants and their categorifications.

**Not covered but in progress** Integral HOMFLYPT homology

How good are quantum knot invariants? ... 1/4

## Quantum invariants



- The original "Poincaré conjecture" was **homology detects the 3-sphere**
- Poincaré found a counterexample ~1904 (later reformulated as "giving opposite sides of a dodecahedron") and then **changed** the "conjecture"
- **Maybe** this is why it was carefully called a question and not a conjecture

How good are quantum knot invariants? ... 1/4

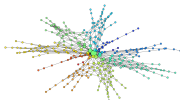
## Quantum invariants



- **Kyoto 1986**: Jones receives the fields medal (with Faddeev in the background)
- **Quote**: "Jones discovered an astonishing relationship between von Neumann algebras and geometric topology. As a result, they found a new polynomial invariant for knots and links in 3-space."
- **Today**: The focus is on the quantum knot invariants à la Jones

How good are quantum knot invariants? ... 1/4

## Big data and knots - TDA



- **Now live**: Ball mapper on knot data
- **Play here**: <https://docs.rtda.org/BallMapperKnots.html>  
<https://davebringer.github.io/web-knot-invariants-comparison/>

How good are quantum knot invariants? ... 1/4

## Quantum invariants

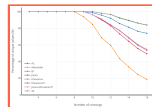
Even the unknotting problem is tricky

In general, knot theory was in need of new invariants since the "standard invariants from algebraic topology" (homology and friends) are really not good for knots

**Problem**: The invariants obtained are not particularly strong

How good are quantum knot invariants? ... 1/4

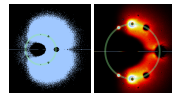
## Big data and knots



- **3/4 century wasted?** They all distinguish knots with probability zero
- **Data visualization** gives us this conjecture and we can prove it for some of them

How good are quantum knot invariants? ... 1/4

## Big data and knots - compare



- **About**: The roots of the Jones polynomials
- This is a **very specific** distribution
- **Another task**: Compare the distribution of the polynomials

How good are quantum knot invariants? ... 1/4

Thanks for your attention!