

Analytic theory of monoidal categories

Or: Strategies to avoid counting



This is part 4

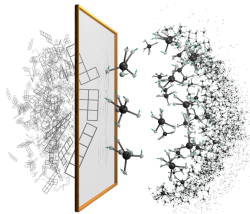
Let us not count!

$$U_q(sl_n) \rightleftarrows V_q^{\otimes n} \leftarrow H(S_n)$$

Quantum Groups Hecke Algebra

$$SL(V) \rightleftarrows V^{\otimes n} \leftarrow S_n$$

Special Linear Group Symmetric Group



- ▶ Schur–Weyl duality relates SL_β/GL_β -reps and S_n -reps in $V^{\otimes n}$ for $V = \mathbb{C}^\beta$
- ▶ So far We have studied $b_n = \#$ inde. summands of $V^{\otimes n}$
- ▶ Task Study the Schur–Weyl dual of b_n

Theorem (Schur–Weyl dual of b_n)

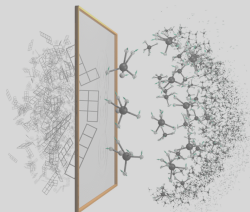
A acts on $V^{\otimes n}$, $b_n = \#$ inde. summands of $V^{\otimes n}$, $B = \text{End}_A(V^{\otimes n})$
Then $b_n = \text{sum of dims of simple } B\text{-reps}$

Quantum Groups

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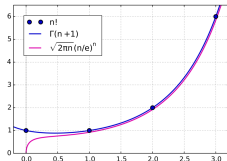
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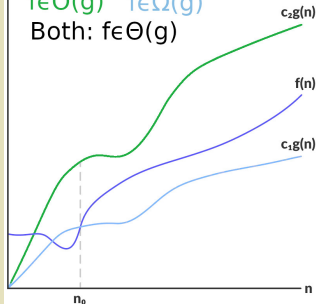
Hecke Algebra

Reminder: How we do not count!



- ▶ **Question** How large (dim wise) do reps of S_n get?
- ▶ **Compare** $|S_n| = n! \sim$ above and ($\#$ partitions of n) $\sim 1/(4\sqrt{3}) \cdot n^{-1} \cdot (e^{\sqrt{3}/3})^{\sqrt{n}}$
- ▶ Hence, one should expect that S_n has large reps and indeed $e^{-n}(n/e)^{n/2} < \max \dim(S_n\text{-reps})$

$f \in O(g)$ $f \in \Omega(g)$
Both: $f \in \Theta(g)$



Example

Total sum of dims of S_n -reps is in $\Omega(\beta^n)$ for all $\beta \in \mathbb{R}_{>0}$

$= \mathbb{C}^\beta$

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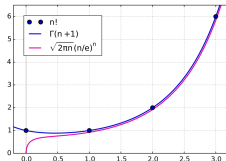
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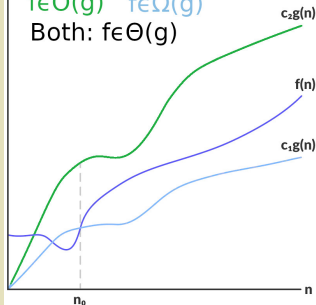
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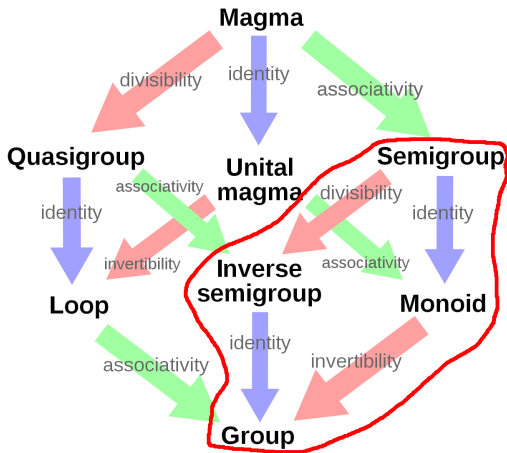
Total sum of dims of S_n -reps is in $\Omega(\beta^n)$ for all $\beta \in \mathbb{R}_{>0}$

$= \mathbb{C}^\beta$

$\text{End}_A(V^{\otimes n})$ is a monoid

This motivates the study of **growth/bounds** of dimensions of monoid reps

Let us not count!



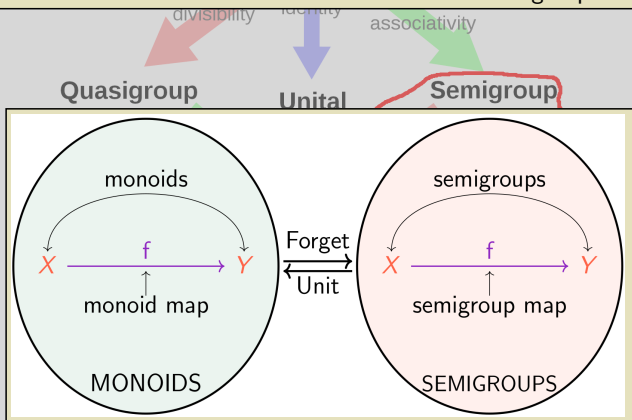
- Associativity \Rightarrow reasonable theory of matrix reps
- Southeast corner \Rightarrow reasonable theory of matrix reps

Let us

Adjoining identities is “free” and there is no essential difference between semigroups and monoids

The main difference is monoids vs. groups

I will stick with the more familiar monoids and groups



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The point of monoid theory is to keep track of information loss



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Monoids appear naturally in categorification

Group-like structures					
	Totality ^a	Associativity	Identity	Invertibility	Commutativity
Semigroupoid	Unneeded	Required	Unneeded	Unneeded	Unneeded
<u>Small category</u>	Unneeded	Required	Required	Unneeded	Unneeded
Groupoid	Unneeded	Required	Required	Required	Unneeded
Magma	Required	Unneeded	Unneeded	Unneeded	Unneeded
Quasigroup	Required	Unneeded	Unneeded	Required	Unneeded
Unital magma	Required	Unneeded	Required	Unneeded	Unneeded
Semigroup	Required	Required	Unneeded	Unneeded	Unneeded
Loop	Required	Unneeded	Required	Required	Unneeded
Inverse semigroup	Required	Required	Unneeded	Required	Unneeded
<u>Monoid</u>	Required	Required	Required	Unneeded	Unneeded
Commutative monoid	Required	Required	Required	Unneeded	Required
Group	Required	Required	Required	Required	Unneeded
Abelian group	Required	Required	Required	Required	Required

- Associativity =
- Southeast corner

Let us not count!

Examples of monoids

Groups

Multiplicative closed sets of matrices (these need not to be unital, but anyway)

Symmetric groups $\text{Aut}(\{1, \dots, n\})$

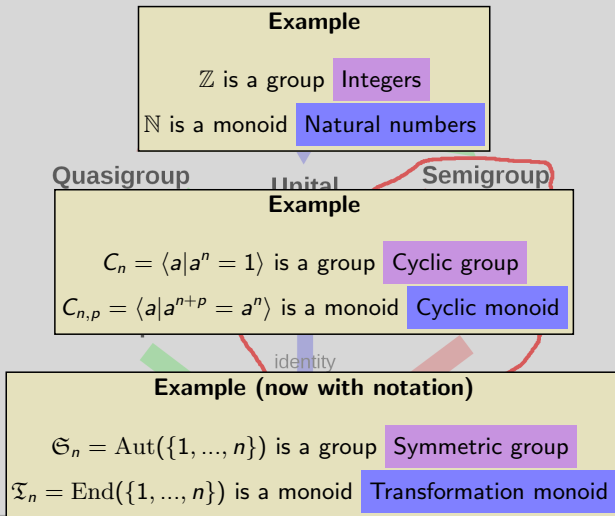


Transformation monoids $\text{End}(\{1, \dots, n\})$



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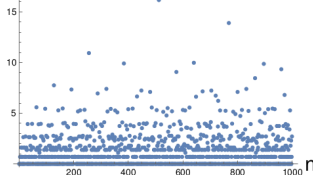
Finite groups are kind of random...

Let us

A000001 Number of groups of order n .
(Formerly M0098 N0035)

0, 1, 1, 1, 2, 1, 2, 1, 5, 2, 2, 1, 5, 1, 2, 1, 14, 1, 5, 1, 5, 2, 2, 1, 15, 2, 2, 5, 4, 1, 4, 1, 51, 1, 2, 1, 14, 1, 2, 2, 14, 1, 6, 1, 4, 2, 2, 1, 52, 2, 5, 1, 5, 1, 15, 2, 13, 2, 2, 1, 13, 1, 2, 4, 267, 1, 4, 1, 5, 1, 4, 1, 50, 1, 2, 3, 4, 1, 6, 1, 52, 15, 2, 1, 15, 1, 2, 1, 12, 1, 10, 1,

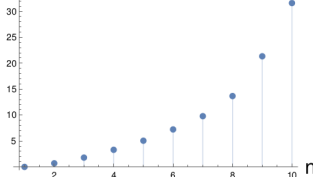
Log(#)



A058133 Number of monoids (semigroups with identity) of order n , considered to be equivalent when they are isomorphic or anti-isomorphic (by reversal of the operator).

0, 1, 2, 6, 27, 156, 1373, 17730, 858977, 1844075697, 52991253973742 ([list](#); [graph](#); [refs](#); [listen](#); [history](#);

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Log(#)

15

Monoids have almost no structure
and there are zillions of them

⇒ not clear that there is a satisfying (rep) theory of monoids

There is: Green's cell theory (not needed today but pulls the strings in the background)



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Log(#)

30

25

20

15

10

5

0

2

4

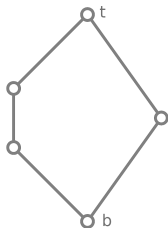
6

8

10

n

Representation gap



-
- ▶ S = monoid, $G \subset S$ = group of units
 - ▶ S has two trivial reps, called bottom and top:

$$1_b: S \rightarrow \mathbb{K}, \quad s \mapsto \begin{cases} 1 & \text{if } s \in G, \\ 0 & \text{else,} \end{cases} \quad 1_t: S \rightarrow \mathbb{K}, \quad s \mapsto 1.$$

- ▶ The name comes from the fact that simple monoid reps are partially ordered and these are at bottom/top

Representation gap

Example

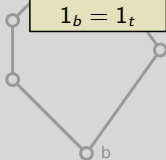
S is a group

\Leftrightarrow

$$S = G$$

\Leftrightarrow

$$1_b = 1_t$$



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Example (the only monoid with one element)

$S = \{1\}$ is trivial

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$1_b = 1_t$ is the only simple S -rep

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$S = S_{0;1} = \langle a | a^2 = a \rangle$ is essentially trivial

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$1_b \neq 1_t$ are the only simple S -reps

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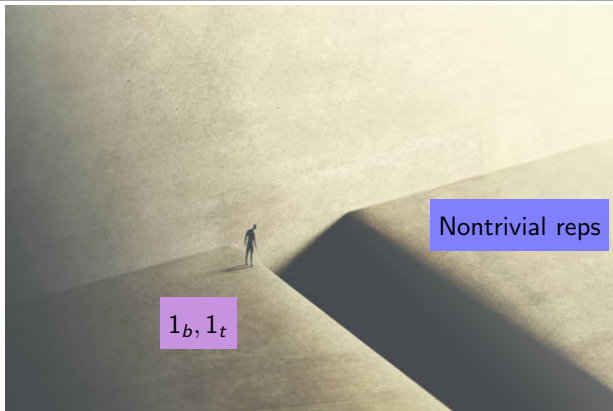
Example (monoid 2 with two elements)

$S = \langle a | a^2 = 1 \rangle$ (this is $\mathbb{Z}/2\mathbb{Z}$)

$$\Rightarrow$$

$1_b = 1_t$ and $a \mapsto -1$ are the only simple S -reps

Representation gap



- Call all S -reps $1_t^{\oplus m} \oplus 1_b^{\oplus n}$ trivial
- Rep gap $gap_{\mathbb{K}}(S)$ = smallest dim of a nontrivial S -rep over \mathbb{K} ; $gap_* = \min$ of $gap_{\mathbb{K}}(S)$ over all fields \mathbb{K} ; write $gap(S)$ if the difference doesn't matter

Representation gap

$gap(S)$ is a measure of the complexity of S

$gap(S)$ goes under different names in the literature

In particular for $S = \text{group}$ this is well-studied and goes back to the very early days of rep theory

$1_b, 1_t$

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One needs lower and upper bounds for $gap(S)$, e.g.:

A large $gap(S)$ is what one seeks for cryptography or expander graphs

A small $gap(S)$ is what one seeks for group/monoid cohomology

- ▶ Call all S -reps $1_t^{\oplus m} \oplus 1_b^{\oplus n}$ trivial
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Representation gap

Mnemonic (not quite true but close)

Rep gap $gap_{\mathbb{K}}(S) =$ smallest dim of a nontrivial simple S -rep over \mathbb{K}

Rep gap $gap_*(S) =$ smallest dim of a nontrivial simple S -rep over all \mathbb{K}

- ▶ Call all S -reps $1_t^{\oplus m} \oplus 1_b^{\oplus n}$ trivial
- ▶ Rep gap $gap_{\mathbb{K}}(S) =$ smallest dim of a nontrivial S -rep over \mathbb{K} ; $gap_* = \min$ of $gap_{\mathbb{K}}(S)$ over all fields \mathbb{K} ; write $gap(S)$ if the difference doesn't matter

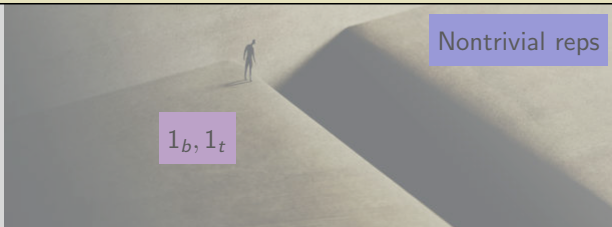
Representation gap

Example/convention

For $S = \{1\}$ we define $\text{gap}(S) = 0$

For $S = S_{0;1} = \{0, 1\}$ we define $\text{gap}(S) = 0$

Why? These are the only monoids without any nontrivial reps
so $\text{gap}(S)$ would be infinite, but that is silly...



- Call all S -reps $1_t^{\oplus m} \oplus 1_b^{\oplus n}$ trivial
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Nontrivial reps

Example (groups)

For $S = \mathbb{Z}/2\mathbb{Z}$ we have $\text{gap}_{\mathbb{C}}(S) = 1$

For $S = \mathfrak{S}_n = \text{Aut}(\{1, \dots, n\})$ we have $\text{gap}_{\mathbb{C}}(S) = 1$

For $S = \text{Monster}$ we have $\text{gap}_{\mathbb{C}}(S) = 196883$ (Griess ~ 1980 and others)

For $S = \text{SL}_2(\mathbb{F}_p)$ we have $\text{gap}_{\mathbb{C}}(S) \geq \frac{p-1}{2}$ (Frobenius ~ 1900)

For $S = \mathbb{Z}/2\mathbb{Z}$ we have $\text{gap}_*(S) = 1$

For $S = \mathfrak{S}_n = \text{Aut}(\{1, \dots, n\})$ we have $\text{gap}_*(S) = 1$

For $S = \text{Monster}$ we have $\text{gap}_*(S) \leq 196882$ (Griess-Smith ~ 1994)

For $S = \text{SL}_2(\mathbb{F}_p)$ we have $\text{gap}_*(S) = 2$ since we can act on \mathbb{F}_p^2

of $\text{gap}_{\mathbb{K}}(S)$ over all fields \mathbb{K} ; write $\text{gap}(S)$ if the difference doesn't matter

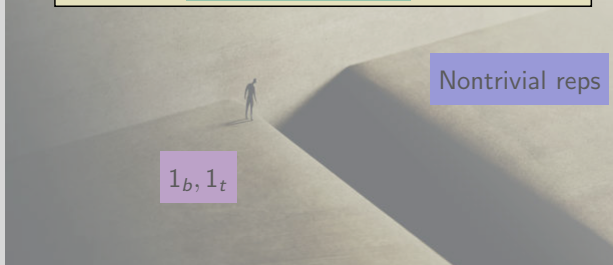
Representation gap

Example (monoids)

For $S = S_{0,\dots,n-1,1}$ and $n > 1$ we have $\text{gap}_*(S) = 2$

Why? Well, S has only the trivial reps

But **nontrivial extensions** of dim 2



- Call all S -reps $1_t^{\oplus m} \oplus 1_b^{\oplus n}$ trivial
- Rep gap $\text{gap}_{\mathbb{K}}(S)$ = smallest dim of a **nontrivial** S -rep over \mathbb{K} ; $\text{gap}_* = \min$ of $\text{gap}_{\mathbb{K}}(S)$ over all fields \mathbb{K} ; write $\text{gap}(S)$ if the difference doesn't matter

Representation gap

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Example (monoids)

There will be some results for diagram monoids momentarily

Symbol	Diagrams	Symbol	Diagrams
pPa_n		Pa_n	
Mo_n		RoBr_n	
TL_n		Br_n	
pRo_n		Ro_n	
pS_n		S_n	

► Call all

► Rep gap $\text{gap}_{\mathbb{K}}(S) = \text{smallest dim of a nontrivial } S\text{-rep over } \mathbb{K}; \text{ gap}_* = \min \text{ of } \text{gap}_{\mathbb{K}}(S) \text{ over all fields } \mathbb{K}; \text{ write } \text{gap}(S) \text{ if the difference doesn't matter}$

Honorable mentions

Old Faithful



Eruption of Old Faithful in 1948

Alternatively, and studied in group theory since the early days (under various names) and by e.g. **Mazorchuk–Steinberg** ~2011 in monoid theory one could use faithfulness as a measure of complexity (using the same notation):

Faithfulness $\text{faith}(S) = \text{smallest dim of a faithful rep}$

- ▶ Call all S -reps $1_t^{\oplus m} \oplus 1_b^{\oplus n}$ trivial
- ▶ **Rep gap** $\text{gap}_{\mathbb{K}}(S) = \text{smallest dim of a nontrivial } S\text{-rep over } \mathbb{K}; \text{ gap}_* = \min \text{ of } \text{gap}_{\mathbb{K}}(S) \text{ over all fields } \mathbb{K}; \text{ write } \text{gap}(S) \text{ if the difference doesn't matter}$

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Faithfulness $\text{faith}(S)$ = smallest dim of a **faithful** rep

Examples

For $S = \mathfrak{S}_n = \text{Aut}(\{1, \dots, n\})$ for $n \geq 5$ we have $\text{faith}_{\mathbb{C}}(S) = n - 1$ (Burnside ~ 1902)

For $S = \mathfrak{T}_n = \text{End}(\{1, \dots, n\})$ we have $\text{faith}_{\mathbb{C}}(S) = n$ (Mazorchuk–Steinberg ~ 2011)

For $S = \mathfrak{S}_n = \text{Aut}(\{1, \dots, n\})$ for $n \geq 5$ we have $\text{faith}_*(S) = n - 2$ (Dickson ~ 1908)

For $S = \mathfrak{T}_n = \text{End}(\{1, \dots, n\})$ we have $\text{faith}_*(S) = ??$

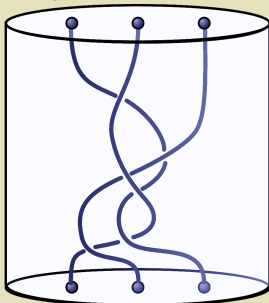
Representation gap

Theorem (easy)

Under some silly nontriviality assumptions on S :

$$\text{gap}(S) \leq \text{faith}(S) \leq |S|$$

Example (infinite group but still...)

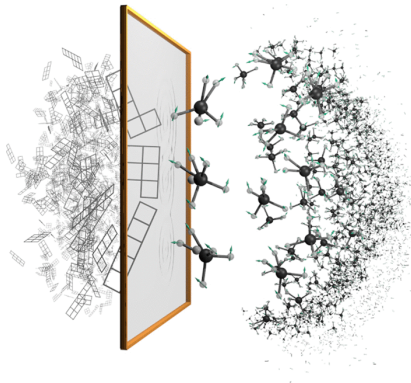


For the braid group Br_n on n strands we have
 $\text{gap}_{\mathbb{Q}(q,t)}(Br_n) \leq n - 1, \text{faith}_{\mathbb{Q}(q,t)}(Br_n) \leq n(n - 1)/2$
 $\dim \text{Bureau} = n - 1, \dim \text{LKB} = n(n - 1)/2$

- Call all S -re...
- Rep gap g of $\text{gap}_{\mathbb{K}}(S)$ over all fields \mathbb{K} ; write $\text{gap}(S)$ if the difference doesn't matter

Rep gap and monoidal categories

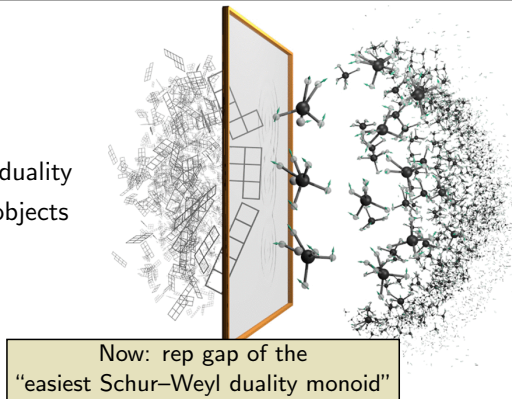
Schur–Weyl duality
relates two objects



- For any monoidal category \mathcal{C} we get a family of monoids $S_n = \text{End}_{\mathcal{C}}(V^{\otimes n})$
- Schur–Weyl duality suggests that S_n should have a **big rep gap**
- Dim simple of S_n “ \Leftrightarrow ” $\#$ of indecomposables in $V^{\otimes n}$ and these **grow fast**

Rep gap and monoidal categories

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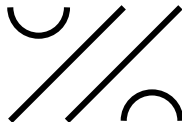


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- Schur–Weyl duality suggests that S_n should have a **big rep gap**
- Dim simple of S_n “ \Leftrightarrow ” # of indecomposables in $V^{\otimes n}$ and these **grow fast**

Rep gap and monoidal categories

Connect 4 points at the bottom with 4 points at the top without crossings, potentially turning back:

$$\{\{1, -3\}, \{2, -4\}, \{3, 4\}, \{-1, -2\}\} \longleftrightarrow$$



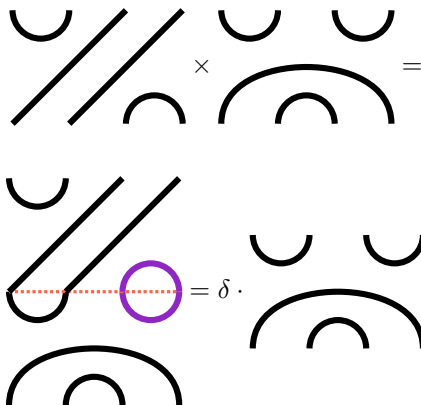
or

$$\{\{1, 4\}, \{2, 3\}, \{-1, -2\}, \{-3, -4\}\} \longleftrightarrow$$



This is the Temperley–Lieb (TL) monoid TL_4 on $\{1, \dots, 4\} \cup \{-1, \dots, -4\}$
In combinatorics, these are crossingless perfect matchings

Rep gap and monoidal categories

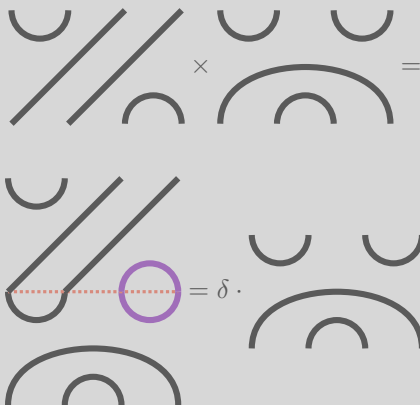


Fix some field \mathbb{K} and $\delta \in \mathbb{K}$, evaluate circles to $\delta \Rightarrow$ TL algebra $TL_4(\delta)$

The TL monoid is the non-linear version of $TL_4(1)$

The TL monoid TL_n arise (kicking out scalars) under Schur–Weyl duality as

$$TL_n \cong \text{End}_{U_q(\mathfrak{gl}_2)}((\mathbb{C}_q^2)^{\otimes n}) \text{ for } -q - q^{-1} = 1$$



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The TL algebra goes back to **Rumer–Teller–Weyl** ~1932

Zur Theorie der Spinvalenz.

Von

Georg Rumer in Moskau.

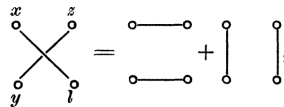
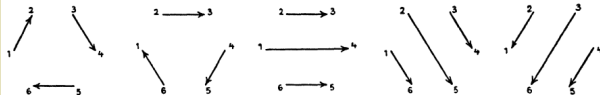
Vorgelegt von H. WEYL in der Sitzung am 22. Juli 1932.

Eine für die Valenztheorie geeignete Basis
der binären Vektorinvarianten.

Von

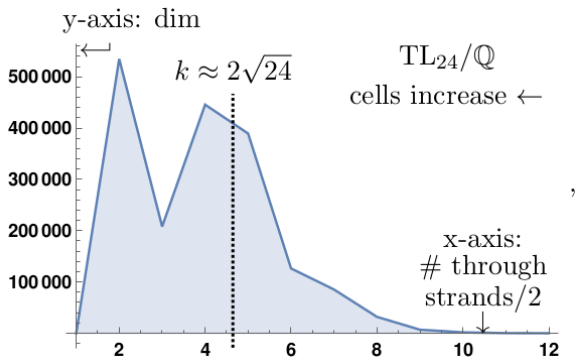
G. Rumer (Moskau), **E. Teller** und **H. Weyl** (Göttingen).

Vorgelegt von H. WEYL in der Sitzung am 28 Oktober 1932.



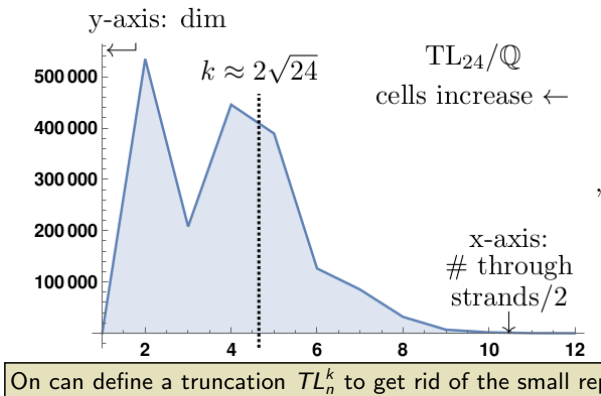
It has been rediscovered many times

Rep gap and monoidal categories



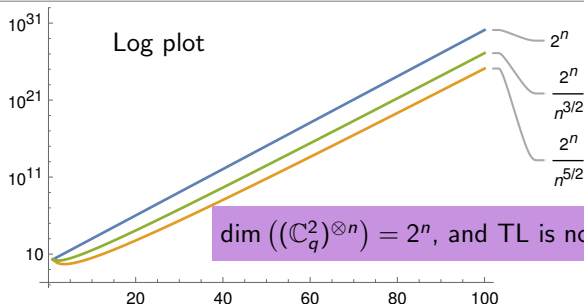
- **Fact** There is one simple TL_n -rep for each through strand $i \in \{n, n-2, \dots\}$
- **Fact** The simple dims are known recursively, see e.g. **Andersen ~2017, Spencer ~2020**
- **Fact** The simple dims behave as above, see e.g. **A computer ~2021**

Rep gap and monoidal categories



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Rep gap and monoidal categories



Theorem For $0 \leq k \leq 2\sqrt{n}$ we have

$$\text{rep}_{\mathbb{Q}}(TL_n^k) \geq \frac{4}{(n + 2\sqrt{n} + 2)(n + 2\sqrt{n} + 4)} \binom{n}{\frac{n}{2} - \sqrt{n}} \in \Theta(n^{-5/2} \cdot 2^n)$$

$$\text{faith}_{\mathbb{Q}}(TL_n^k) \geq \frac{6}{n + 4} \binom{n}{\lfloor \frac{n}{2} - 1 \rfloor} \in \Theta(n^{-3/2} \cdot 2^n)$$

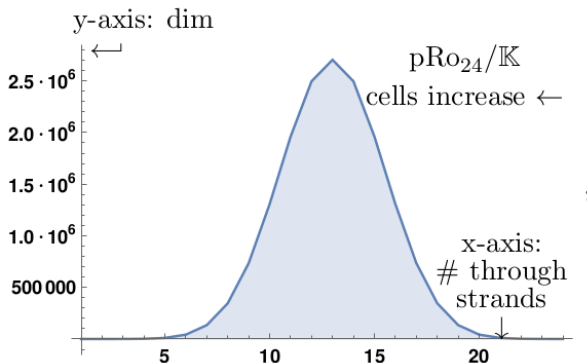
Rep gap and monoidal categories

Symbol	Diagrams	Symbol	Diagrams
pPa_n		Pa_n	
Mo_n		RoBr_n	
TL_n		Br_n	
pRo_n		Ro_n	
pS_n		S_n	

Summary

- ▶ Similar formulas hold for *gap* and *faith* but details are unknown
- ▶ The rep gap of monoids from monoidal categories is often **large**
- ▶ This is in particular true for most of the “Schur–Weyl monoids” above

Rep gap and monoidal categories



Summary

- ▶ Similar formulas hold for gap_* and $faith_*$ but details are unknown
- ▶ The rep gap of monoids from monoidal categories is often **large**
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Let us not count!

$$U_{\mathbb{Z}}(b_{\mathbb{Z}}) \hookrightarrow V^{\otimes n} \leftarrow HS_n$$

$$St(V) \hookleftarrow V^{\otimes n} \hookrightarrow S_n$$



- **Schur-Weyl duality** relates SL_n/\mathbb{G}_m -reps and S_n -reps in $V^{\otimes n}$ for $V \in \mathbb{C}^n$
- **So far** We have studied $b_n \#$ inde. sursumads of $V^{\otimes n}$
- **Task** Study the Schur-Weyl dual of b_n

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Let us not count!

Examples of monoids

Groups

Multiplication closed sets of matrices (these need not to be invertible, but anyway)

Systematic groups $Aut(\{1, \dots, n\})$

(24138567) \rightsquigarrow

Transformation monoids $End(\{1, \dots, n\})$

(23135555) \rightsquigarrow

► Southeast corner \rightarrow reasonable theory of matrix reps

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Rep. gap and monoidal categories

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The TL monoid is the non-linear version of $TL_4(1)$

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Let us not

Theorem (Schur-Weyl dual of b_n)

A acts on $V^{\otimes n}$, $b_n \#$ inde. sursumads of $V^{\otimes n}$, $B = End_A(V^{\otimes n})$

Then b_n is sum of dims of simple B -reps

Example

Total sum of dims of S_n -reps is $\mathbb{Q}(\frac{1}{n})$ for all $n \in \mathbb{N}_{>0}$

$End_{\mathbb{C}}(V^{\otimes n})$ is a monoid

This motivates the study of **growth/bounds** of dimensions of monoid reps

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Representation gap

► Call all S -reps $1^{\otimes n} \oplus 1^{\otimes n}$ trivial

► **Rep. gap** $gap_{\mathbb{K}}(S) :=$ smallest dim of a **nontrivial** S -rep over \mathbb{K} ; gap, \leq min of $gap_{\mathbb{K}}(S)$ over all fields \mathbb{K} , write $gap(S)$ if the difference doesn't matter

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► **Fact** There is one simple TL_n -rep for each through strand $i \in \{n, n-2, \dots\}$

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Let us not count!

► Associativity \rightarrow reasonable theory of matrix reps

► Southeast corner \rightarrow reasonable theory of matrix reps

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Representation gap

Theorem (easy)

Under some silly naturalistic assumptions on \mathbb{Z}

$gap(\mathbb{Z}) \leq \text{fin}(\mathbb{Z}) \leq |\mathbb{Z}|$

Example (unstable groups but well-...)

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$fin_{\mathbb{Q}}(TL_n) \geq \frac{6}{n+4} \binom{n}{\frac{n}{2}-1} \in \Theta(n^{-1/2} \cdot 2^n)$

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There is still much to do...

Let us not count!

$$U_{\mathbb{A}}(b_{\mathbb{A}}) \hookrightarrow V_{\mathbb{A}}^{\text{ind}} \leftarrow HS_{\mathbb{A}}$$

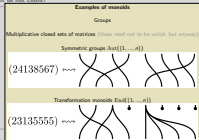
$$St(V) \hookleftarrow V^{\text{ind}} \hookrightarrow S_{\mathbb{A}}$$



- **Schur-Weyl duality** relates SL_n/\mathbb{G}_m -reps and S_n -reps in $V^{\otimes n}$ for $V \in \mathbb{C}^l$
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Analysis theory of monoidal categories (Dr. Strömberg to avoid counting) July 2024 1/3

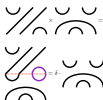
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Analysis theory of monoidal categories (Dr. Strömberg to avoid counting) July 2024 1/3

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Analysis theory of monoidal categories (Dr. Strömberg to avoid counting) July 2024 1/3

Let us not

Theorem (Schur-Weyl dual of $b_{\mathbb{A}}$)

\mathbb{A} acts on $V^{\otimes n}$, $b_{\mathbb{A}} \in \text{inde. sursumands of } V^{\otimes n}$, $\mathbb{B} = \text{End}_{\mathbb{A}}(V^{\otimes n})$
Then $b_{\mathbb{A}}$ is sum of \dim of simple \mathbb{B} -reps

Example: How do we count?

h(0,0) = 0

h(0,1) = 1

h(1,0) = 1

h(1,1) = 2

h(2,0) = 1

h(2,1) = 2

h(2,2) = 1

h(3,0) = 1

h(3,1) = 3

h(3,2) = 3

h(3,3) = 1

h(4,0) = 1

h(4,1) = 4

h(4,2) = 6

h(4,3) = 4

h(4,4) = 1

h(5,0) = 1

h(5,1) = 5

h(5,2) = 9

h(5,3) = 6

h(5,4) = 2

h(5,5) = 1

h(6,0) = 1

h(6,1) = 6

h(6,2) = 12

h(6,3) = 8

h(6,4) = 3

h(6,5) = 1

h(7,0) = 1

h(7,1) = 7

h(7,2) = 14

h(7,3) = 10

h(7,4) = 4

h(7,5) = 1

h(8,0) = 1

h(8,1) = 8

h(8,2) = 16

h(8,3) = 12

h(8,4) = 5

h(8,5) = 1

h(9,0) = 1

h(9,1) = 9

h(9,2) = 18

h(9,3) = 14

h(9,4) = 6

h(9,5) = 1

h(10,0) = 1

h(10,1) = 10

h(10,2) = 20

h(10,3) = 16

h(10,4) = 7

h(10,5) = 1

h(11,0) = 1

h(11,1) = 11

h(11,2) = 22

h(11,3) = 18

h(11,4) = 8

h(11,5) = 1

h(12,0) = 1

h(12,1) = 12

h(12,2) = 24

h(12,3) = 20

h(12,4) = 9

h(12,5) = 1

h(13,0) = 1

h(13,1) = 13

h(13,2) = 26

h(13,3) = 22

h(13,4) = 10

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