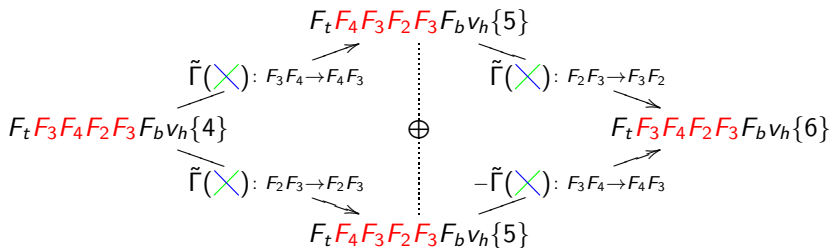


# $\mathfrak{sl}_n$ -link homologies using $\dot{U}_q(\mathfrak{sl}_m)$ -highest weight theory

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The  $m$  is not a typo!

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- 1 A diagrammatic presentation
  - $\mathfrak{sl}_2$ -webs
  - Connection to  $\mathbf{Rep}(\mathbf{U}_q(\mathfrak{sl}_2))$
  - How can one prove the graphical representation?
  
- 2 Connection to the  $\mathfrak{sl}_n$ -link polynomials
  - The Jones polynomial
  - Links as  $F$ 's
  - It's Reshetikhin-Turaev's colored  $\mathfrak{sl}_n$ -link polynomial
  
- 3 Its categorification!
  - “Higher” representation theory
  - Categorified  $q$ -skew Howe duality
  - The Khovanov homology

# An old story: Rumer, Teller and Weyl (1932)

500

G. RUMER, E. TELLER und H. WEYL,

2. Fundamentalsatz: Alle linearen Abhängigkeiten zwischen den Monomen ergeben sich (in einem algebraisch genauer präzierten Sinne) aus der einen Identität (2).

Wir werden uns hier auf den ersten, nicht aber auf den zweiten Fundamentalsatz stützen; vielmehr wird durch unsere Überlegungen ein neuer Beweis des 2. Fundamentalsatzes erbracht.

In der Quantenmechanik bedeuten die Zeichen  $x, y, \dots, z$  Atome, die sich zu einem Molekül zusammensetzen,  $a, b, \dots, c$  deren Valenzen. Jede Invariante der geforderten Ordnung stellt einen Spinzustand des Moleküls dar. Die durch die Monome repräsentierten „reinen Valenzzustände“ veranschaulicht sich der Chemiker durch ein Valenzschema, in dem die Atome als Punkte erscheinen und jeder Klammerfaktor  $[xy]$  durch einen die beiden Atome  $x$  und  $y$  verbindenden gerichteten Strich zum Ausdruck gebracht wird.  $a, b, \dots, c$  sind dann die Anzahlen der Valenzstriche, die von den einzelnen Atomen  $x, y, \dots, z$  im Valenzschema des Monoms ausgehen. Man zeichne die Punkte  $x, y, \dots, z$  auf einem Kreise auf. Die zu beweisende Regel lautet dann:

Jede Invariante  $J$  ist eine lineare Kombination solcher Monome, deren Valenzschema keine sich kreuzenden Valenzstriche enthält. Die Monome mit kreuzungslosem Valenzschema sind aber linear unabhängig von einander.

Beim Beweise des ersten Teils kann man nach dem 1. Fundamentalsatz annehmen, daß die Invariante  $J$  ein Monom ist, welches wir durch sein Valenzschema  $S$  abbilden. Es bestehe aus  $N$  Strichen zwischen den  $n$  Punkten  $x, y, \dots, z$ . Wir stützen uns darauf, daß man mit Hilfe der Relation (2):

$$(3) \quad \begin{array}{c} x \\ \circ \\ \diagdown \quad \diagup \\ \circ \quad \circ \\ y \quad z \\ \diagup \quad \diagdown \\ \circ \quad \circ \\ p \quad q \end{array} = \begin{array}{c} \circ \quad \circ \\ \hline \circ \quad \circ \end{array} + \begin{array}{c} \circ \\ | \\ \circ \end{array} \cdot \begin{array}{c} \circ \\ | \\ \circ \end{array}$$

Kreuzungen auflösen kann<sup>1)</sup>. Natürlich ist mit dieser Bemerkung nicht alles getan; denn wenn man in einem komplizierten Schema die Kreuzung zweier Valenzstriche auflöst, werden dadurch im allgemeinen andere Kreuzungen teils mit aufgelöst, teils neu entstehen. Dennoch kommt man durch ein geeignetes rekursives Arrangement zum Ziel, wie folgt.

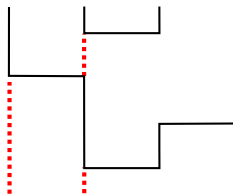
1) In der Figur wurde der Richtungssinn der Valenzstriche weggelassen.

# Think topologically but write algebraically

Think:



Write:



Advantage: Decomposition à la Morse into **basic pieces**.

Ignore dotted red lines: We used them to solve **sign issues** (functoriality of Khovanov homology for example). They **encode** the fact for quantum groups the antipode (dual representations) comes with a **sign**.

# The (rigid) $\mathfrak{sl}_2$ -webs - the objects

## Definition - Part I

The (rigid)  $\mathfrak{sl}_2$ -web spider  $\mathbf{Sp}(\mathbf{U}_q(\mathfrak{sl}_2))$  is the monoidal,  $\bar{\mathbb{Q}}(q)$ -linear 1-category consisting of the following.

The **objects** are ordered compositions  $\vec{k}$  of  $d \in \mathbb{N}$  with only 0, 1, 2 as entries.

Stated otherwise: The objects are  $m$ -tuples

$$\vec{k} = (k_1, \dots, k_m) \quad \text{such that} \quad \sum_{j=1}^m k_j = d, k_j \in \{0, 1, 2\}.$$

Example:

$$d = 10 : \quad \vec{k}_1 = (2, 2, 0, 1, 2, 0, 1, 2, 0, 0) \quad \text{and} \quad \vec{k}_2 = (2, 2, 2, 2, 2, 0, 0, 0, 0, 0)$$

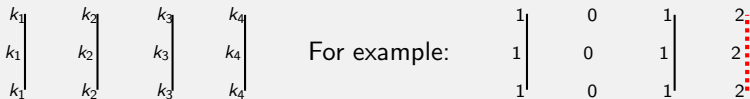
We call an object a **highest weight** object if  $k_j \in \{0, 2\}$  and  $k_j \geq k_{j+1}$ , e.g.  $\vec{k}_2$ .

# The (rigid) $\mathfrak{sl}_2$ -webs - the generating 1-morphisms

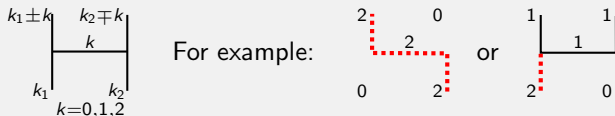
## Definition - Part II

The **generating 1-morphisms** are  $w: \vec{k} \rightarrow \vec{k}'$  are **edge-labeled graphs** such that

- The vertices are **either** 1-valent and part of the bottom (where we place  $\vec{k}$ ) or top (where we place  $\vec{k}'$ ) boundary **or** 3-valent. The labels are from the set  $\{0, 1, 2\}$  and edges that end in a 1-valent vertex  $k_j$  should have label  $k_j$ .
- We **do not** picture edges labeled 0 and picture the edges labeled 2 **dotted**.
- The generators are either **identities**



- Or **ladders**



# The (rigid) $\mathfrak{sl}_2$ -webs - and all the rest

## Definition - Part III

- The  $\bar{\mathbb{Q}}(q)$ -linear composition  $\circ$  is **stacking** (see below).
- The monoidal structure  $\otimes$  is given by **juxtaposition**, e.g.

- All 1-morphisms should be **generated** by identities and ladders by  $\circ$  and  $\otimes$ .
- Relations are the **circle removals** and **isotopies**, e.g.  $([2] = q + q^{-1})$

# The quantum algebra $U_q(\mathfrak{sl}_m)$

## Definition

For  $m \in \mathbb{N}_{>1}$  the **quantum special linear algebra**  $U_q(\mathfrak{sl}_m)$  is the associative, unital  $\bar{\mathbb{Q}}(q)$ -algebra **generated by**  $K_i^{\pm 1}$  and  $E_i$  and  $F_i$ , for  $i = 1, \dots, m-1$  subject the following **relations**.

$$K_i K_j = K_j K_i, \quad K_i K_i^{-1} = K_i^{-1} K_i = 1,$$

$$E_i F_j - F_j E_i = \delta_{i,j} \frac{K_i K_{i+1}^{-1} - K_i^{-1} K_{i+1}}{q - q^{-1}},$$

$$K_i E_j = q^{(\epsilon_i, \alpha_j)} E_j K_i,$$

$$K_i F_j = q^{-(\epsilon_i, \alpha_j)} F_j K_i,$$

$$E_i^2 E_j - [2] E_i E_j E_i + E_j E_i^2 = 0, \quad \text{if } |i - j| = 1,$$

$$E_i E_j - E_j E_i = 0, \quad \text{else,}$$

$$F_i^2 F_j - [2] F_i F_j F_i + F_j F_i^2 = 0, \quad \text{if } |i - j| = 1,$$

$$F_i F_j - F_j F_i = 0, \quad \text{else.}$$



# The idempotent version

## Definition (Beilinson-Lusztig-MacPherson)

For each  $\vec{k} \in \mathbb{Z}^{m-1}$  adjoin an **idempotent**  $1_{\vec{k}}$  (**think**: projection to the  $\vec{k}$ -weight space!) to  $\mathbf{U}_q(\mathfrak{sl}_m)$  and add some relations, e.g.

$$1_{\vec{k}}1_{\vec{k}'} = \delta_{\vec{k},\vec{k}'}1_{\vec{k}} \text{ and } F_i1_{\vec{k}} = 1_{\vec{k}-\vec{\alpha}_i}F_i \text{ and } K_{\pm i}1_{\vec{k}} = q^{\pm k_i}1_{\vec{k}} \text{ (no } K\text{'s anymore!).}$$

The **idempotent quantum special linear algebra** is defined by

$$\dot{\mathbf{U}}_q(\mathfrak{sl}_m) = \bigoplus_{\vec{k}, \vec{k}' \in \mathbb{Z}^{m-1}} 1_{\vec{k}} \mathbf{U}_q(\mathfrak{sl}_m) 1_{\vec{k}'}$$

Its **lower part**  $\dot{\mathbf{U}}_q^-(\mathfrak{sl}_m)$  is the subalgebra of **only**  $F$ 's.

An important fact: The  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$  has the **"same"** representation theory as  $\mathbf{U}_q(\mathfrak{sl}_m)$  and  $\dot{\mathbf{U}}_q^-(\mathfrak{sl}_m)$  suffices to describe it.

# The category $\mathbf{Rep}(\mathbf{U}_q(\mathfrak{sl}_2))$

## Definition

The **representation category**  $\mathbf{Rep}(\mathbf{U}_q(\mathfrak{sl}_2))$  is the monoidal,  $\bar{\mathbb{Q}}(q)$ -linear 1-category consisting of:

- The **objects** are finite tensor products of the  $\mathbf{U}_q(\mathfrak{sl}_2)$ -representations  $\Lambda^k \bar{\mathbb{Q}}^2$ . Denote them by  $\vec{k} = (k_1, \dots, k_m)$  with  $k_i \in \{0, 1, 2\}$ .
- The **1-cells**  $w: \vec{k} \rightarrow \vec{k}'$  are  $\mathbf{U}_q(\mathfrak{sl}_2)$ -intertwiners.
- Composition  $\circ$  of 1-cells is **composition of intertwiners** and  $\otimes$  is the **ordered tensor product**.

It is worth noting that  $\Lambda^0 \bar{\mathbb{Q}}^2 = \bar{\mathbb{Q}}$  is the trivial  $\mathbf{U}_q(\mathfrak{sl}_2)$ -representation,  $\Lambda^2 \bar{\mathbb{Q}}^2 \cong \bar{\mathbb{Q}}$  its dual and  $\Lambda^1 \bar{\mathbb{Q}}^2 = \bar{\mathbb{Q}}^2$  is the (self-dual)  $\mathbf{U}_q(\mathfrak{sl}_2)$ -vector representation.

Example:  $\vec{k} = (2, 0)$ ,  $\vec{k}' = (1, 1)$  and  $\bar{\mathbb{Q}}^2 = \langle x_{+1}, x_{-1} \rangle$ . Then

$\text{cup}: \bar{\mathbb{Q}} \cong \Lambda^2 \bar{\mathbb{Q}}^2 \otimes \bar{\mathbb{Q}} \rightarrow \Lambda^1 \bar{\mathbb{Q}}^2 \otimes \Lambda^1 \bar{\mathbb{Q}}^2 \cong \bar{\mathbb{Q}}^2 \otimes \bar{\mathbb{Q}}^2, 1 \mapsto x_{+1} \otimes x_{-1} - q^{-1} \cdot x_{-1} \otimes x_{+1}$

forms a basis of  $\mathbf{Mor}(\vec{k}, \vec{k}')$ .

# Intertwiner are pictures

Theorem (Kuperberg 1997,  $n > 3$ : Cautis-Kamnitzer-Morrison 2012)

The 1-categories  $\mathbf{Rep}(\mathbf{U}_q(\mathfrak{sl}_2))$  and  $\mathbf{Sp}(\mathbf{U}_q(\mathfrak{sl}_2))$  are **equivalent**.

Example: cup = cup, i.e.

$$\text{cup}: \Lambda^2 \bar{\mathbb{Q}}^2 \otimes \bar{\mathbb{Q}} \rightarrow \Lambda^1 \bar{\mathbb{Q}}^2 \otimes \Lambda^1 \bar{\mathbb{Q}}^2 \quad \mapsto \quad \begin{array}{c} 1 \quad \quad 1 \\ | \quad \quad | \\ \hline 1 \\ | \\ 2 \quad \quad 0 \end{array}$$

## Question

How can one prove such a statement?

Finding the generators for  $\mathbf{Rep}(\mathbf{U}_q(\mathfrak{sl}_2))$  is **doable**, but...

Finding a **complete** set of relations is **very hard**!

# An instance of $q$ -skew Howe duality

The commuting actions of  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$  and  $\dot{\mathbf{U}}_q(\mathfrak{sl}_2)$  on

$$\bigoplus_{a_1 + \dots + a_m = N} (\Lambda^{a_1} \bar{\mathbb{Q}}^2 \otimes \dots \otimes \Lambda^{a_m} \bar{\mathbb{Q}}^2) \cong \Lambda^N(\bar{\mathbb{Q}}^m \otimes \bar{\mathbb{Q}}^2) \cong \bigoplus_{a_1 + a_2 = N} (\Lambda^{a_1} \bar{\mathbb{Q}}^m \otimes \Lambda^{a_2} \bar{\mathbb{Q}}^m)$$

introduce a  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -action on the left side and a  $\dot{\mathbf{U}}_q(\mathfrak{sl}_2)$ -action on the right side.

The left and right side are  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ - and  $\dot{\mathbf{U}}_q(\mathfrak{sl}_2)$ -weight spaces with weights

$$\vec{k}_{\dot{\mathbf{U}}_q(\mathfrak{sl}_m)} = (a_1 - a_2, \dots, a_{m-1} - a_m) \quad \text{and} \quad \vec{k}_{\dot{\mathbf{U}}_q(\mathfrak{sl}_2)} = (a_1 - a_2)$$

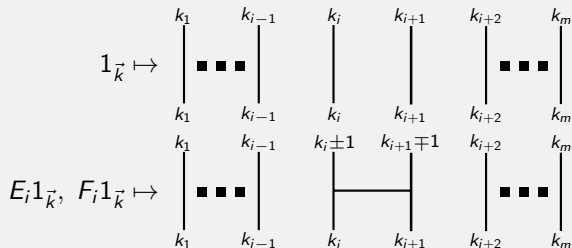
respectively.

Here the  $\Lambda^k \bar{\mathbb{Q}}_q^l$  are irreducible  $\dot{\mathbf{U}}_q(\mathfrak{sl}_l)$ -representations ( $l \in \{2, m\}$ ).

# Graphical quantum skew Howe duality

## Theorem

There is an  $\mathbf{U}_q(\mathfrak{sl}_m)$ -action on  $\mathbf{Sp}(\mathbf{U}_q(\mathfrak{sl}_2))^m$  (objects of length  $m$ )!



That is, we stack these pictures on **top** of a given  $\mathfrak{sl}_2$ -web.

Thus,  $\mathbf{Sp}(\mathbf{U}_q(\mathfrak{sl}_2))^m$  is a  $\mathbf{U}_q(\mathfrak{sl}_m)$ -module and **not just** a  $\mathbf{U}_q(\mathfrak{sl}_2)$ -module.

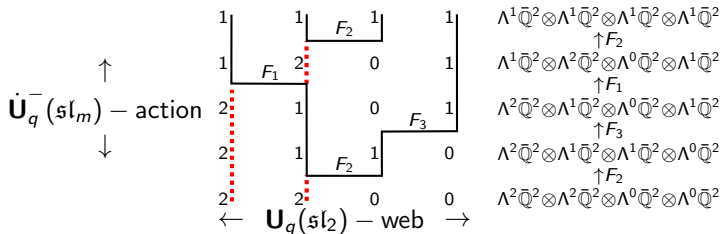
# Graphical quantum skew Howe duality - even better

## Theorem

The  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -submodule

$$W_2((2^\ell)) = \bigoplus_{\vec{k} \in \Lambda(m, 2\ell)} W_2(\vec{k}) = \bigoplus_{\vec{k} \in \Lambda(m, 2\ell)} \mathbf{Mor}_{\mathbf{Sp}(\mathbf{U}_q(\mathfrak{sl}_2))}((2^\ell), \vec{k}),$$

called the  **$\mathfrak{sl}_2$ -web space**, is a  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -module of **highest weight  $(2^\ell)$** . Thus, it is generated by  $\dot{\mathbf{U}}_q^-(\mathfrak{sl}_m)$  (aka  **$F$ 's suffice**).



# An instance of $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -highest weight theory

What is the **upshot** of this?

- “Explains” the  $\mathbf{U}_q(\mathfrak{sl}_2)$ -intertwiner as instances of the (well developed)  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -highest weight theory.
- The action of the  $F$ 's is **explicit and inductive** - a powerful tool to prove statements.
- **All** the relations follow from the well-known ones from  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ , e.g.

$$E_1 F_1 v_{20} - \underbrace{F_1 E_1 v_{20}}_{=0} = \underbrace{\frac{K_1 K_2^{-1} - K_1^{-1} K_2}{q - q^{-1}}}_{=[2]1_{20} \text{ in } \dot{\mathbf{U}}_q(\mathfrak{sl}_m)} v_{20} \Rightarrow \begin{array}{ccc} & & 0 \\ & & \vdots \\ & & 2 \\ & & \vdots \\ & & 0 \\ & & \vdots \\ & & 2 \\ & & \vdots \\ & & 0 \end{array} \begin{array}{c} E_1 \\ \boxed{\begin{array}{cc} 1 & \\ & 1 \end{array}} \\ F_1 \end{array} \begin{array}{ccc} & & 0 \\ & & \vdots \\ & & 2 \\ & & \vdots \\ & & 0 \\ & & \vdots \\ & & 2 \\ & & \vdots \\ & & 0 \end{array} = [2] \cdot 2 \begin{array}{ccc} & & 0 \\ & & \vdots \\ & & 1_{20} \\ & & \vdots \\ & & 0 \\ & & \vdots \\ & & 2 \\ & & \vdots \\ & & 0 \end{array}$$

- Even better:  $\dot{\mathbf{U}}_q^-(\mathfrak{sl}_m)$  **suffices** for everything!

# The famous Jones polynomial

Let  $L_D$  be a diagram of an oriented link. Set  $[2] = q + q^{-1}$  and

$$n_+ = \text{number of crossings } \nearrow \searrow \quad n_- = \text{number of crossings } \searrow \nearrow$$

## Definition/Theorem (Jones 1984, Kauffman 1987)

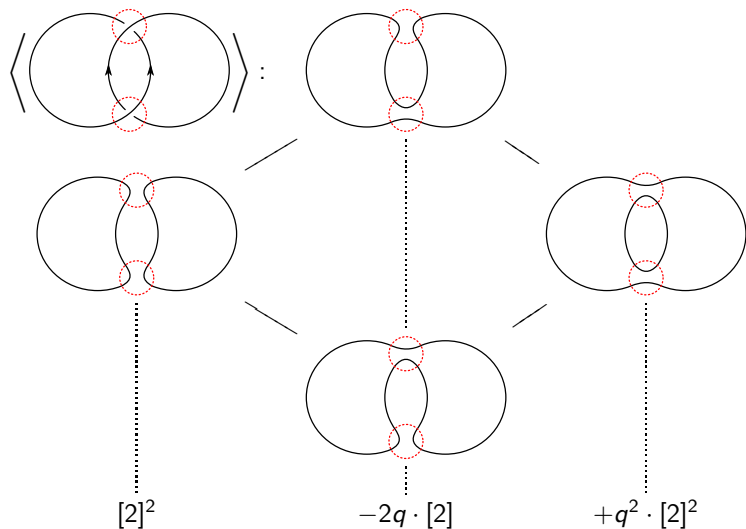
The **bracket polynomial** of the diagram  $L_D$  (without orientations) is a polynomial  $\langle L_D \rangle \in \mathbb{Z}[q, q^{-1}]$  given by the following rules.

- $\langle \emptyset \rangle = 1$  (**normalization**).
- $\langle \nearrow \searrow \rangle = \langle \rangle \langle \rangle - q \langle \smile \rangle$  (**recursion step 1**).
- $\langle \bigcirc \amalg L_D \rangle = [2] \cdot \langle L_D \rangle$  (**recursion step 2**).
- $[2]J(L_D) = (-1)^{n_-} q^{n_+ - 2n_-} \langle L_D \rangle$  (**Re-normalization**).

The polynomial  $J(\cdot) \in \mathbb{Z}[q, q^{-1}]$  is an **invariant** of oriented links.



# Exempli gratia



Thus,  $J(\mathbf{Hopf}) = q^5 + q$ , i.e the Hopf link is **not trivial!**

# Crossings measure the difference between $F_i F_{i+1}$ and $F_{i+1} F_i$

Define an  $\mathbf{U}_q(\mathfrak{sl}_2)$ -intertwiner called **positive crossing**  $T_1^+$  as follows.

$$\begin{array}{c} \nearrow \\ \nwarrow \\ T_1^+ \end{array} = \begin{array}{c} 1 \quad 1 \\ | \quad | \\ 1 \quad 1 \\ | \quad | \\ 0 \quad 1 \\ \text{0-resolution} \end{array} - q \cdot \begin{array}{c} 1 \quad 1 \\ \text{---} E_1 \text{---} \\ | \quad | \\ \color{red}{\vdots} \quad 0 \\ \text{---} F_1 \text{---} \\ | \quad | \\ 1 \quad 1 \\ \text{1-resolution} \end{array} = 1_{11} v_{11} - q \cdot F_1 E_1 v_{11}.$$

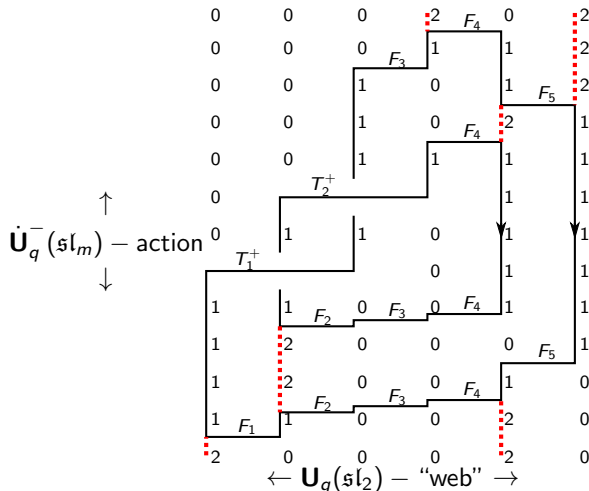
Wait: It is a  $\mathbf{U}_q(\mathfrak{sl}_m)$ -highest weight module: **No  $E$ 's** are needed!

$$\begin{array}{c} \nearrow \\ \nwarrow \\ T_1^+ \end{array} = \begin{array}{c} 0 \quad 1 \quad 1 \\ | \quad | \quad | \\ 1 \quad 0 \quad 1 \\ | \quad | \quad | \\ 1 \quad 1 \quad 0 \\ \text{0-resolution} \end{array} - q \cdot \begin{array}{c} 0 \quad 1 \quad 1 \\ | \quad | \quad | \\ \color{red}{\vdots} \quad 2 \quad 0 \\ | \quad | \quad | \\ 1 \quad 1 \quad 0 \\ \text{1-resolution} \end{array} = F_1 F_2 v_{110} - q \cdot F_2 F_1 v_{110}.$$

**Exercise:** Do  $\nearrow \nwarrow$ .

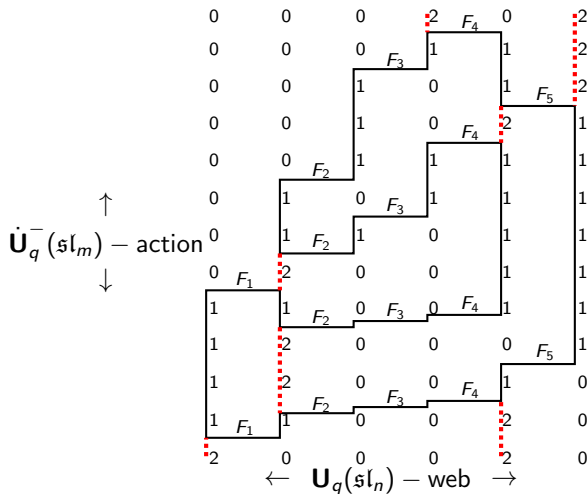
# $\dot{U}_q^-(\mathfrak{sl}_m)$ knows link diagrams

Using these  $T_k^+$  and  $T_k^-$  together with the  $F_i$ 's we can write link diagrams as



$$\text{qH(Hopf)} = F_4^{(2)} F_4 F_3 F_5 F_4 T_2^+ T_1^+ F_4 F_3 F_2 F_5 F_4 F_3 F_2 F_1 F_4^{(2)} F_3^{(2)} F_2^{(2)} v_{220000}.$$

# Jumping from a highest to a lowest weight



Resolutions are strings of  $F$ 's jumping from a highest to a lowest  $\dot{U}_q(\mathfrak{sl}_m)$ -weight space. Both are **1-dimensional**, thus, this gives a **quantum number!**

# It works fine!

## Definition

Given a link diagram  $L_D$ . Put it in a position à la Morse and obtain  $qH(L_D)$ . Define  $P_{\text{RT}}^2(L_D) \in \mathbb{Z}[q, q^{-1}]$  as the  $q$ -weighed, alternating sum over all resolutions multiplied by  $(-1)^{n-} q^{n+ - 2n-}$  (re-normalization).

**Exercise:** Check that this does **not** depend on the choice of the position à la Morse by using the relations from  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ .

There is a  $\mathfrak{sl}_n$ -variant of this, denoted by  $P_{\text{RT}}^n(L_D)$ , that can also be colored with different fundamental  $\mathbf{U}_q(\mathfrak{sl}_n)$ -representations  $\Lambda^k \bar{\mathbb{Q}}^n$ .

## Theorem

The (colored) polynomial  $P_{\text{RT}}^n(L_D)$  is an invariant of links. Moreover, it **is** the (colored) Reshetikhin-Turaev  $\mathfrak{sl}_n$ -link polynomial.

In particular: The polynomial  $P_{\text{RT}}^2(L_D)$  colored with the  $\mathbf{U}_q(\mathfrak{sl}_2)$ -tensor representations  $\Lambda^1 \bar{\mathbb{Q}}^2$  **gives** the Jones polynomial  $J(L_D)$ .

# The $\mathfrak{sl}_n$ -link polynomials using $\mathfrak{sl}_m$ -symmetries

Let us **summarize** the connection between (colored)  $\mathfrak{sl}_n$ -link polynomials and the  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ - $\dot{\mathbf{U}}_q(\mathfrak{sl}_n)$ -skew Howe duality.

- Reshetikhin-Turaev: The  $\mathfrak{sl}_n$ -link polynomials  $P_{\text{RT}}^n(\cdot)$  are  $\mathbf{U}_q(\mathfrak{sl}_n)$ -intertwiner.
- $\mathbf{U}_q(\mathfrak{sl}_n)$ -intertwiner are vectors in hom's between  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -weight spaces.
- Only  $F$ 's:  $\dot{\mathbf{U}}_q^-(\mathfrak{sl}_m)$  suffices. Conclusion: The (colored)  $\mathfrak{sl}_n$ -link polynomials  $P_{\text{RT}}^n(\cdot)$  are instances of  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -highest weight theory!
- Even better: There exists a fixed  $m$  for each link  $L$  such that  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -highest weight theory governs all the  $\mathfrak{sl}_n$ -polynomials of  $L$ .
- If  $L_D$  is a link diagram, then  $P_{\text{RT}}^n(L_D)$  is obtained by jumping via  $F$ 's from a highest  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -weight  $v_h$  to a lowest  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -weight  $v_l$ !
- $P_{\text{RT}}^n(L_D)$  "measure" the difference between different "ways" from  $v_h$  to  $v_l$ .

Please, fasten your seat belts!

Let's **categorify** everything!

# Categorified symmetries

Let  $A$  be some algebra,  $M$  be a  $A$ -module and  $\mathcal{C}$  be a **suitable** category. Denote by  $a, a_1, a_2$  some words in some generating set.

“Usual”  $\rightsquigarrow$  “Higher”

$$a \mapsto f_a \in \text{End}(M) \rightsquigarrow a \mapsto \mathcal{F}_a \in \text{End}(\mathcal{C})$$

$$(f_{a_1} \cdot f_{a_2})(m) = f_{a_1 a_2}(m) \rightsquigarrow (\mathcal{F}_{a_1} \circ \mathcal{F}_{a_2})\left(\begin{smallmatrix} X \\ \varphi \end{smallmatrix}\right) \cong \mathcal{F}_{a_1 a_2}\left(\begin{smallmatrix} X \\ \varphi \end{smallmatrix}\right)$$

$$a_1 \sim a_2 \stackrel{!}{\Rightarrow} f_{a_1} = f_{a_2} \rightsquigarrow a_1 \sim a_2 \stackrel{!}{\Rightarrow} \mathcal{F}_{a_1} \cong \mathcal{F}_{a_2}\left(\begin{smallmatrix} X \\ \varphi \end{smallmatrix}\right)$$

Moral: **Lift** modules to categories, actions to functors, = to natural isomorphisms.



# There is no direct minus

We have **several** upshots.

- The natural transformations between functors give information **invisible** in “classical” representation theory.
- A categorical representation contains **more** information about the symmetries (or representations) of  $A$ .
- If  $\mathcal{C}$  is suitable, e.g. module categories over an algebra, then its indecomposable objects  $X$  gives a basis  $[X]$  of  $M$  with **positivity properties**.
- In particular, consider  $A$  as a  $A$ -module. Then  $[X]$  gives a basis of  $A$  with **positive** structure coefficients  $c_k^{ij}$  via

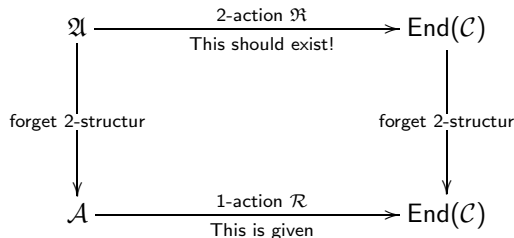
$$X_{a_i} \otimes X_{a_j} \cong \bigoplus_k X_{a_k}^{c_k^{ij}} \rightsquigarrow a_i a_j = \sum_k c_k^{ij} a_k, \quad c_k^{ij} \in \mathbb{N}.$$

# How to get our hand on the natural transformations?

**Reformulate:** Let us see  $A$  as a **category**  $\mathcal{A}$  with one object  $*$  and a morphism  $a$  for each  $a \in A$ . Then a categorical action can be seen as a **functor**

$$\mathcal{R}: \mathcal{A} \rightarrow \text{End}(\mathcal{C}), * \mapsto \mathcal{C} \text{ and } a \mapsto \mathcal{F}_a.$$

But since  $\text{End}(\mathcal{C})$  is a **2-category** (2-morphisms are the natural transformations) one can expect that there **should** be a 2-category  $\mathfrak{A}$  that **categorifies**  $\mathcal{A}$  and a 2-functor  $\mathfrak{R}: \mathfrak{A} \rightarrow \text{End}(\mathcal{C})$  that **categorifies**  $\mathcal{R}$ .



# The overview

$$\begin{array}{ccc} \mathcal{U}(\mathfrak{sl}_m) & \xrightarrow[\mathcal{U}(\mathfrak{sl}_m) \text{ acts}]{\text{Categorified } q\text{-skew Howe}} & \text{????} \\ \downarrow K_0^\oplus & \text{How it should be!} & \downarrow K_0^\oplus \\ \dot{\mathbf{U}}_q(\mathfrak{sl}_m) & \xrightarrow[\dot{\mathbf{U}}_q(\mathfrak{sl}_m) \text{ acts}]{q\text{-skew Howe}} & \mathbf{Sp}(\mathbf{U}_q(\mathfrak{sl}_n))^m \end{array}$$

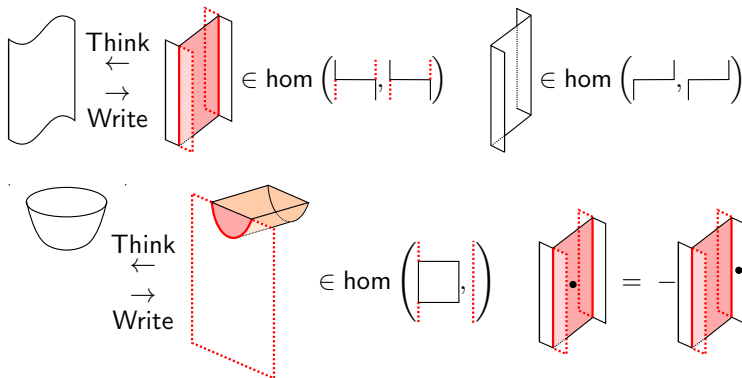
This is how it should be: There is an  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -action on the  $\mathfrak{sl}_n$ -web spiders (for us it was mostly the case  $n = 2$ )

On the left side: There is **Khovanov-Lauda's categorification** of  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$  denoted by  $\mathcal{U}(\mathfrak{sl}_m)$  (which I **briefly** explain soon).

Conclusion: There **should** be a 2-action of  $\mathcal{U}(\mathfrak{sl}_m)$  on the top right - a suitable 2-category of “natural transformations” between  $\mathbf{U}_q(\mathfrak{sl}_n)$ -intertwiners!

# Rigid $\mathfrak{sl}_2$ -foams: Hopefully illustrating examples

Instead of giving the **formal** definition of the rigid  $\mathfrak{sl}_2$ -foam 2-category **Foam<sub>2</sub>** (that fills the top right from before) let me just give some **examples**.

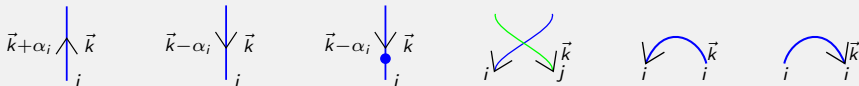


# Khovanov-Lauda's 2-category $\mathcal{U}(\mathfrak{sl}_m)$

## Definition/Theorem (Khovanov-Lauda 2008)

The 2-category  $\mathcal{U}(\mathfrak{sl}_m)$  is defined by (everything suitably  $\mathbb{Z}$ -graded and  $\bar{\mathbb{Q}}$ -linear):

- The objects of  $\mathcal{U}(\mathfrak{sl}_m)$  are the weights  $\vec{k} \in \mathbb{Z}^{m-1}$ .
- The 1-morphisms are finite formal sums of the form  $\mathcal{E}_i \mathbf{1}_{\vec{k}}\{t\}$  and  $\mathcal{F}_i \mathbf{1}_{\vec{k}}\{t\}$ .
- 2-cells are graded,  $\bar{\mathbb{Q}}$ -vector spaces **generated** by compositions of diagrams (additional ones with reversed arrows) as illustrated below **plus relations**.



We have

$$\dot{\mathbf{U}}_q(\mathfrak{sl}_m) \cong K_0^\oplus(\mathcal{U}(\mathfrak{sl}_m)) \otimes_{\mathbb{Z}[q, q^{-1}]} \bar{\mathbb{Q}}(q).$$

Roughly: **Read** this as follows.

$$\begin{array}{c} \text{blue arc} \\ \curvearrowright \\ i \end{array} \vec{k} \rightsquigarrow \varphi: E_i F_i \mathbf{1}_{\vec{k}} \rightarrow \mathbf{1}_{\vec{k}} \qquad \begin{array}{c} i \\ \curvearrowleft \\ \text{blue arc} \end{array} \vec{k} \rightsquigarrow \psi: \mathbf{1}_{\vec{k}} \rightarrow E_i F_i \mathbf{1}_{\vec{k}}$$

# The KL-R algebra


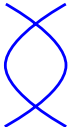
## Definition/Theorem (Khovanov-Lauda, Rouquier 2008/2009)

Let  $R_m$  be a **certain** direct sum of subalgebras of  $\text{hom}_{\mathcal{U}(\mathfrak{sl}_m)}(\mathcal{F}_i \mathbf{1}_{\vec{k}}\{t\}, \mathcal{F}_j \mathbf{1}_{\vec{k}'}\{t\})$ . Thus **only downwards** pointing arrows - aka **only F's**. That is, working with  $R_m$  enables us to ignore orientations and consider only diagrams of the form



The KL-R algebra has the structure of a  $\mathbb{Z}$ -graded,  $\bar{\mathbb{Q}}$ -algebra. We have

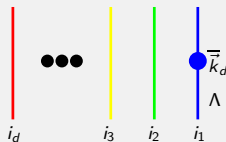
$$\dot{\mathcal{U}}_q^-(\mathfrak{sl}_m) \cong K_0^\oplus(R_m) \otimes_{\mathbb{Z}[q, q^{-1}]} \bar{\mathbb{Q}}(q).$$

NOT allowed:  But  = 0 is the Nil-Hecke relation

# The cyclotomic quotient

Definition (Khovanov-Lauda, Rouquier 2008/2009)

Fix a dominant  $\mathfrak{sl}_m$ -weight  $\Lambda$ . The **cyclotomic KL-R algebra**  $R_\Lambda$  is the subquotient of  $\mathcal{U}(\mathfrak{sl}_m)$  defined by the subalgebra of **only downward (only  $F$ 's!)** pointing arrows and rightmost region labeled  $\Lambda$  modulo the so-called **cyclotomic relation**



Theorem (Brundan-Kleshchev, Lauda-Vazirani, Webster, Kang-Kashiwara, ... > 2008)

Let  $V_\Lambda$  be the  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -module of highest weight  $\Lambda$ . We have

$$V_\Lambda \cong K_0^\oplus(R_\Lambda) \otimes_{\mathbb{Z}[q, q^{-1}]} \bar{\mathbb{Q}}(q)$$

as  $\dot{\mathbf{U}}_q(\mathfrak{sl}_m)$ -modules (note that this works for more **general  $\mathfrak{g}$** ).

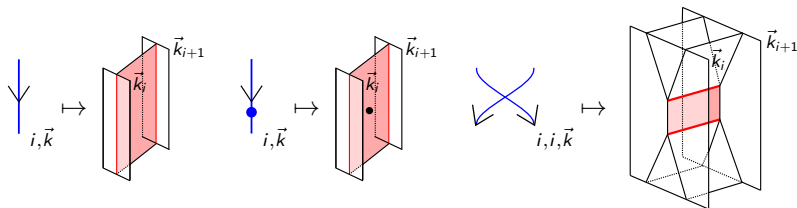
# $\mathfrak{sl}_2$ -foamation (works for all $n > 1!$ )

We define a 2-functor

$$\Gamma: \mathcal{U}(\mathfrak{sl}_m) \rightarrow \mathbf{Foam}_2^m$$

called  $\mathfrak{sl}_2$ -foamation, roughly in the following way.

**On 2-cells:** We define



And some others (that are not important today).

## Theorem

The 2-functor  $\Gamma: \mathcal{U}(\mathfrak{sl}_m) \rightarrow \mathbf{Foam}_2^m$  categorifies  $q$ -skew Howe duality.

It descends down to a 2-functor  $\tilde{\Gamma}: R_\Lambda(\vec{k})\text{-}(p)\mathbf{Mod}_{gr} \rightarrow \mathbf{Foam}_2^m$ .



# Khovanov's categorification of the Jones polynomial

Recall the rules for the Jones polynomial.

- $\langle \emptyset \rangle = 1$  (**normalization**).
- $\langle \diagdown \rangle = \langle \diagup \rangle - q \langle \frown \rangle$  (**recursion step 1**).
- $\langle \bigcirc \amalg L_D \rangle = [2] \cdot \langle L_D \rangle$  (**recursion step 2**).
- $[2]J(L_D) = (-1)^{n_-} q^{n_+ - 2n_-} \langle L_D \rangle$  (**Re-normalization**).

## Definition/Theorem (Khovanov 1999)

Let  $L_D$  be a diagram of an oriented link. Denote by  $A = \bar{\mathbb{Q}}[X]/X^2$  the dual numbers with  $\text{qdeg}(1) = 1$  and  $\text{qdeg}(X) = -1$  - this is a Frobenius algebra with a given comultiplication  $\Delta$ . We assign to it a chain complex  $[[L_D]]$  of  $\mathbb{Z}$ -graded  $\bar{\mathbb{Q}}$ -vector spaces using the **categorified rules**:

- $[[\emptyset]] = 0 \rightarrow \bar{\mathbb{Q}} \rightarrow 0$  (**normalization**).
- $[[\diagdown]] = \Gamma \left( 0 \rightarrow \mathbb{P} \left( \mathbb{P} \xrightarrow{d} \mathbb{P} \rightarrow 0 \right) \right)$  with  $d = m, \Delta$  (**recursion step 1**).
- $[[\bigcirc \amalg L_D]] = A \otimes_{\bar{\mathbb{Q}}} [[L_D]]$  (**recursion step 2**).
- $\mathbf{Kh}(L_D) = [[L_D]][-n_-] \{n_+ - 2n_-\}$  (**Re-normalization**).

Then  $\mathbf{Kh}(\cdot)$  is an **invariant** of oriented links whose graded Euler characteristic gives  $\chi_q(\mathbf{Kh}(L_D)) = [2]J(L_D)$ .

# Link diagrams are $F$ 's and differentials are KL-R crossings

Very roughly: Use **categorified**  $q$ -skew Howe duality to express a link diagram  $L_D$  as a certain string of **only**  $F_i^{(j)}$ 's. Obtain a complex as

$$\begin{array}{ccc}
 & F_t F_4 F_3 F_2 F_3 F_b v_h \{5\} & \\
 & \nearrow & \nwarrow \\
 \tilde{\Gamma}(\times): F_3 F_4 \rightarrow F_4 F_3 & & \tilde{\Gamma}(\times): F_2 F_3 \rightarrow F_3 F_2 \\
 F_t F_3 F_4 F_2 F_3 F_b v_h \{4\} & \oplus & F_t F_3 F_4 F_2 F_3 F_b v_h \{6\} \\
 \nwarrow & & \nearrow \\
 \tilde{\Gamma}(\times): F_2 F_3 \rightarrow F_2 F_3 & & -\tilde{\Gamma}(\times): F_3 F_4 \rightarrow F_4 F_3 \\
 & F_t F_4 F_3 F_2 F_3 F_b v_h \{5\} & 
 \end{array}$$

## Theorem

This, under categorified  $q$ -skew Howe duality, **gives** the  $\mathfrak{sl}_n$ -link homology (because the  $\times$  "are" the "saddles").

# The $\mathfrak{sl}_n$ -homologies using $\mathfrak{sl}_m$ -symmetries

Let us **summarize** the connection between  $\mathfrak{sl}_n$ -homologies and the higher  $q$ -skew Howe duality.

- Khovanov, Khovanov-Rozansky and others: The  $\mathfrak{sl}_n$ -link homology can be **obtained** using certain “ $\mathfrak{sl}_n$ -foams”.
- Only  $F$ 's: The (cyclotomic) KL-R **suffices**.
- Conclusion: The  $\mathfrak{sl}_n$ -link homologies are **instances of highest  $\mathcal{U}(\mathfrak{sl}_m)$ -weight representation theory!**
- If  $L_D$  is a link diagram, then they are obtained by **jumping via  $F$ 's** from a highest  $\mathcal{U}(\mathfrak{sl}_m)$ -weight  $V_h$  object to a lowest  $\mathcal{U}(\mathfrak{sl}_m)$ -weight object  $V_l$ !
- **Missing:** Connection to Webster's categorification of the RT-polynomials!
- **Missing:** Is the module category of the cyclotomic KL-R algebra braided?
- **Missing:** Details about colored  $\mathfrak{sl}_n$ -homologies have to be worked out!

There is still **much** to do...

Thanks for your attention!